

Motilal Oswal Financial Services Limited
CIN: L67190MH2005PLC153397
Regd. Off.: Motilal Oswal Tower,
Rahimtullah Sayani Road,
Opp. Parel ST Depot,
Prabhadevi, Mumbai – 400025
Board: +91 22 7193 4200 / 4263
Fax: +91 22 5036 2365

April 20, 2022

BSE Limited
P. J. Towers,
Dalal Street, Fort,
Mumbai - 400001
Security Code: 532892

National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai – 400051
Symbol: MOTILALLOFS

Sub: Compliance Certificate - Regulation 40(9) and 40(10) of Listing Regulations

Dear Sir/Madam,

Pursuant to Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), please find enclosed herewith the Compliance Certificate issued by M/s. U. Hegde & Associates, Company Secretaries for the year ended March 31, 2022.

Kindly take the same on record.

Thanking you,

Yours faithfully,
For Motilal Oswal Financial Services Limited

Kailash Purohit
Company Secretary & Compliance Officer
Encl: As above

U. HEGDE & ASSOCIATES

COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
& DISCLOSURE REQUIREMENTS) REGULATION, 2015**

FOR THE FINANCIAL YEAR ENDED MARCH 31, 2022

I, have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **MOTILAL OSWAL FINANCIAL SERVICES LIMITED**, having registered Office at Motilal Oswal Tower, Rahiumtullah Sayani Road, Opp Parel ST Depot, Mumbai 400025 and maintained by Registrar & Transfer Agents (RTA) **LINKINTIME INDIA PRIVATE LIMITED**, C-101, 247, Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400083., pertaining to transfer of equity shares of the company for the period from April 1, 2021 to March 31, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, based on the information provided by the Company/RTA, I hereby certify that:

- A) During the period from April 1, 2021 to March 31, 2022 no share transfers were lodged with Company/RTA, therefore the Company was not required to deliver the share certificates within thirty days from date of lodgment.
- B) During the period from April 1, 2021 to March 31, 2022, the Company /RTA did not receive any request relating to sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Company was not required to deliver the share certificates within thirty days from date of lodgment.

FOR U. HEGDE & ASSOCIATES, COMPANY SECRETARIES



UMASHANKAR K HEGDE
(Proprietor)
COP No- 11161 # M.No- A22133

ICSI UDIN - A022133D000172162



Date: 20/04/2022
Place: Mumbai