

KEYNOTE



Ref# Key20/Stock Exchange Let/Sm (9)

29th May, 2020

The Manager
BSE Limited,
Listing Department,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

The Manager
National Stock Exchange of India Ltd.
Listing Department, Exchange Plaza,
C-1, Block- G, Bandra Kurla Complex,
Bandra (East),
Mumbai - 400 051

Scrip Code/ Name of Scrip: 512597/KEYFINSER

Name of Scrip: KEYFINSERV

Dear Sir,

Reg: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned matter, please find enclosed herewith Compliance Certificate for the period 1st October, 2019 to 31st March, 2020 issued by M/s. Uma Lodha & Co., Company Secretaries, Mumbai, as required in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take the same on record.

Thanking you and assuring you of our best co-operation at all times.

Yours sincerely,
For **Keynote Financial Services Limited**
Sd/-
Sujeet More
Company Secretary
Contact No. 022 6826 6071

Keynote Financial Services Limited

(Formerly known as Keynote Corporate Services Limited)

The Ruby, 9th Floor, Senapati Bapat Marg, Dadar (West), Mumbai 400028

Tel : 91 22 6826 6000 Fax : 91 22 6826 6088 Email : info@keynoteindia.net Website : www.keynoteindia.net

CIN – L67120MH1993PLC072407

UMA LODHA & CO.

COMPANY SECRETARIES

Suite No. 507, 5th Floor, Highway Commercial Centre,
I.B Patel Road, Goregaon East, Mumbai - 400063
Tel: 91-22-40131001/02 Mob: +91-9821247172
Email: uma@umalodha.com | info@umalodha.com
Website: www.umalodha.com

**CERTIFICATE UNDER REGULATION 40(9) UNDER SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS), REGULATIONS 2015 FOR HALF YEAR ENDED
31st MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Keynote Financial Services Limited** maintained by Link Intime India Private Limited pertaining to transfer of Equity Shares of the company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing Certificate as per Regulation 40(9) under SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015 entered into by Keynote Financial Services Limited with BSE Limited (BSE) and National Stock Exchange of India Limited (NSE).

Based on the information provided by M/s. Link Intime India Private Limited, I hereby certify that:

1. There were no requests received relating to the transfer or transposition of shares during the period 1st October, 2019 to 31st March, 2020.
2. M/s. Link Intime India Private Limited has delivered during half year ended on 31st March, 2020 share certificates in respect of requests relating to transmission within 30 days from respective of date of lodgment.

**For Uma Lodha & Co.
Practicing Company Secretaries**

**Place: Mumbai
Date: 29/05/2020**

**UMA LODHA
Proprietor
C.P. No. 2593
UDIN No. F005363B000281958**