



Pharmaceuticals Limited

**Registered & Corporate Office :**

Plot No. 72, H. No. 8-2-334/3 & 4, Road No. 5,

Opp. SBI Executive Enclave, Banjara Hills,

Hyderabad - 500 034, Telangana, INDIA.

Tel : +91-40-2525 9999, Fax : +91-40-2525 9889

CIN : L24239TG1987PLC008066

Email: info@smspharma.com, www.smspharma.com

Date: 11<sup>th</sup> May, 2020

To,  
The Manager,  
Corporate Filings Department,  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai- 400 001

The Manager,  
Listing Compliance Department,  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai - 400 051.

**Security Code:532815**

**Symbol: SMSPHARMA**

**Subject: Statement under Regulation 40(9)&(10) for the half year ended on 31<sup>st</sup> March, 2020.**

Dear Sir/Madam,

Pursuant to the Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we are submitting herewith a Compliance certificate issued by Mr. C. Sudhir Babu, practicing company secretary for the half year ended 31<sup>st</sup> March, 2020.

Please take the same on your records.

Yours Faithfully,

For SMS Pharmaceuticals Limited

V.S.Venkatish  
Company Secretary



**CSB Associates**  
Company Secretaries

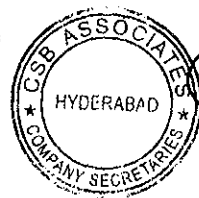
3-6-481, Above Vijaya Bank,  
Street No.6, Himayatnagar,  
Hyderabad - 500 029.  
Mobile : 99855 23338  
85001 18567  
E-mail : csbassociates27@yahoo.com

**COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS), REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020**

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. SMS Pharmaceuticals Limited maintained by M/s. Aarthi Consultants Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company, I hereby certify that during half year ended on 31<sup>st</sup> March, 2020:

- A) There were no instances of Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020.
- B) There were no instances of duplicate, sub-division, renewal and exchange of certificate during the period under review.

Place: Hyderabad  
Date: 09-05-2020



For CSB Associates  
Company Secretaries

*[Signature]*  
C. Sudhir Babu  
Proprietor

FCS No. 2724, C.P.No:7666.  
UDIN: F002724B000220744