



Date: 23.10.2019

The Head- Listing Compliance
BSE Limited,
PhirozeJeejeebhoy Towers,
Dalal Street,
FortMumbai- 400001

The Head- Listing Compliance
**National Stock Exchange of
India Ltd.**
Exchange Plaza,
Plot no. C/1, G Block,
Bandra-Kurla Complex
Mumbai - 400 051

The Head- Listing
Compliance
**The Calcutta Stock
Exchange Ltd.**
7, Lyons Range,
Murgighata, BBD Bagh,
Kolkata, West Bengal-
700001

Security Code: 511611

Stock Code: DCMFINSERV

Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **30th September, 2019** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Sincerely,

For DCM Financial Services Limited


Authorised Signatory

Place: New Delhi

Encl: as stated



JAIN P & ASSOCIATES

Company Secretaries

Add: C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh

E-Mail: jainpandassociates@gmail.com, Ph: +91-9599473294

UDIN: A041759A000151572

**CERTIFICATE UNDER REGULATION 40(9) OF THE
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2019**

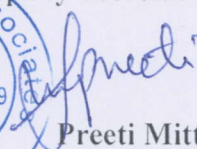
I have examined relevant Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1st April, 2019 to 30th September, 2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the company and its Registrar & Transfer Agent, I hereby certify that the Company has delivered during half year ended on **30th September, 2019**.

Share Certificate(s) relating to the Share Transfer Form(s) received during the period **1st April, 2019 to 30th September, 2019**, as entered in the Memorandum of Transfers have been issued within Fifteen days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Date: 23.10.2019

Place: Noida

For Jain P & Associates,
Company Secretaries


Preeti Mittal
★ Company Secretary
Memb. No. 41759
C.O.P. No. 17079

