

# THE UGAR SUGAR WORKS LIMITED.

Works \* Ugar Khurd – 591 316, Dist.Belgaum, Karnataka  
E-mail \* helpdesk@ugarsugar.com  
Regd. Office \* Mahaveernagar, Sangli – 416 416, Maharashtra.  
E-mail \* Usw.sangli@ugarsugar.com

Phone \* -91 8339 272230 (5 Lines) Fax \* -918339 272232  
Website \* www.ugarsugar.com  
Phone \* -91 233 2623717, 2623716 Fax \* -91 233 2623617  
TIN No. \* 29520007001, PAN-AAACT7580R  
GSTIN \* 29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.  
(CIN – L15421PN1939PLC006738)

Sec/

Date: 20.01.2023

To,  
**The Executive Director,**  
Bombay Stock Exchange Ltd.,  
P J Towers, Dalal Street,  
Mumbai.  
Tel No: (022) 22721234  
Fax No: (022) 22721278/22722039  
**Stock Code: 530363**

To,  
**Corporate Communications**  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot no. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai - 400 051 Tel No: (022) 26598148  
Fax No: (022) 26598120  
**Stock Code: UGARSUGAR**

Dear Sir,

Sub: Regulation 39(3) information regarding loss of share certificate.

The below Details are intimation for issue of duplicate certificate and date of intimation is 20.01.2023

| Client Name              | Cert. No | Dist. No From | Dist. NO. To | Folio No. | Quantity | Name                     |
|--------------------------|----------|---------------|--------------|-----------|----------|--------------------------|
| THE UGAR SUGAR WORKS LTD | 19466    | 99319386      | 99323385     | K000020   | 4000     | KAMANNA GANGAPPA DALAWAI |

Kindly acknowledge the receipt.

Thanking you,  
Yours faithfully,  
For The Ugar Sugar Works Ltd.,



Company Secretary  
(M. No: A45586)

**From:** bssduplicate@bigshareonline.com [mailto:bssduplicate@bigshareonline.com]

**Sent:** Friday, January 20, 2023 12:30 PM

**To:** tushar.deshpande@ugarsugar.com; usw.secretrialdept@ugarsugar.com

**Cc:** harisha@bigshareonline.com; bss.transactions@bigshareonline.com; sujit@bigshareonline.com; Bssduplicate@Bigshareonline.Com

**Subject:** Duplicate Share Intimations Received On : 20230119 THE UGAR SUGAR WORKS LTD Folio no: K000020

Dear Sir/Ma'am,

Please be informed that in terms of the provisions of the SEBI (LODR) Regulations, 2015, the Company is required to submit information regarding loss of share certificates and issue of duplicate certificates, to the stock exchange within two days of its getting information. Further, the said intimation has to be filed only through the mode of NEAPS filing for NSE and on listing.bseindia.com for BSE and not via hard copy submission.

Accordingly, we are sharing herewith the receipt of the following request for loss of share certificate of the Company by shareholders, to enable you to comply with the said regulatory requirement within the timelines prescribed.

| Client Name              | Cert. No | Dist. No From | Dist. NO. To | Folio No. | Quantity | Name                     | JH 1 | JH 2 |
|--------------------------|----------|---------------|--------------|-----------|----------|--------------------------|------|------|
| THE UGAR SUGAR WORKS LTD | 19466    | 99319386      | 99323385     | K000020   | 4000     | KAMANNA GANGAPPA DALAWAI |      |      |

Should you have any queries in respect of the aforesaid instruction kindly revert back.

Regards,

Bigshare Services Pvt. Ltd.

# Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

## Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 27/12/2022

### A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick  as relevant, refer to the instructions):

- Demat Account No. (If available):   120332004

4529984

Provide Client Master List (CML) of your Demat Account from the Depository Participant\*

- Provide the following details, if they are not already available with the RTA (see SEBI circular dated November 03, 2021 in this regard)

|                                     |                                |
|-------------------------------------|--------------------------------|
| PAN                                 | Specimen Signature             |
| Nomination / Declaration to Opt-out | <i>K. S. W. J. No. 02/2022</i> |

\* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in SEBI circular dated November 03, 2021.

### B. I / We request you for the following (tick relevant box)

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Issue of Duplicate certificate                        | <input type="checkbox"/> Claim from Unclaimed Account | <p style="text-align: right; font-size: 24px; font-weight: bold;">608422</p> <div style="border: 2px solid black; padding: 5px; text-align: center;"> <p><b>BIGSHARE SERVICES PVT. LTD.</b></p> <p><b>RECEIVED</b></p> <p>Subject to Verification</p> <p><b>11.9 JAN 2023</b></p> <p>Sign: _____</p> <p>Sign: _____</p> </div> |
| <input type="checkbox"/> Replacement/ Renewal / Exchange of securities certificate        | <input type="checkbox"/> Endorsement                  |  |
| <input type="checkbox"/> Sub-division / Splitting of securities certificate               | <input type="checkbox"/> Consolidation of Folios      |  |
| <input type="checkbox"/> Consolidation of Securities certificate <input type="checkbox"/> | <input type="checkbox"/> Transmission                 |  |
| <input type="checkbox"/> Transposition (Mention the new order of holders here)            |   |  |

### C. I / We are enclosing certificate(s) as detailed below\*\*:

|                            |                             |
|----------------------------|-----------------------------|
| Name of the Issuer Company | THE UGAR SUGAR WORKS        |
| Folio Number               | K000020                     |
| Name(s) of the security    | 1 KAMANNIA GANGAPPA DALAWAR |

|                                     |                                  |
|-------------------------------------|----------------------------------|
| holder(s) as per the certificate(s) | 2.<br>3.                         |
| Certificate numbers                 | 19466                            |
| Distinctive numbers                 | 99319386 — 99323385              |
| Number & Face value of securities   | TOTAL shares - 4000<br>1 RS EACH |

\*\* Wherever applicable / whichever details are available

**D. Document / details required for specific service request:**

- I.  Duplicate securities certificate
- II.  Claim from Unclaimed Suspense Account
- |                    |                       |                            |
|--------------------|-----------------------|----------------------------|
| Securities claimed | 4000<br>FOUR THOUSAND | (in numbers)<br>(in words) |
|--------------------|-----------------------|----------------------------|
- III.  Replacement / Renewal / Exchange of securities certificate  
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV.  Endorsement
- V.  Sub-division / Splitting of securities certificate
- VI.  Consolidation of securities certificate/Folios
- VII.  Transmission
- VIII.  Transposition

*Provide / attach original securities certificate(s) for request for item numbers III to VIII above.*

**Declaration:** All the above facts stated are true and correct to best of my / our knowledge and belief.

|              | Security Holder 1 / Claimant                              | SecurityHolder 2 | SecurityHolder 3 |
|--------------|---|------------------|------------------|
| Signature    | ✓<br>K. S. J. N. G. Dalawat                               | ✓                | ✓                |
| Name         | KAMANNA GANGAPPA DALAWAT                                  |                  | ✓                |
| Full address | IN GALGAON ROAD ATTIMAR-DARUR<br>TAL-ATHANI DIST. BELGAUM |                  |                  |
| PIN          | ✓ 591304  |                  |                  |

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.