

Escorts Finance Limited

Office: 15/5, Mathura Road, Faridabad-121003 (HR)

Phone : 0129-2250222,2564222 E-mail : escortsfinance@escortsfinance.com

Website : www.escortsfinance.com

CIN : L74110CH1987PLC033652

April 17, 2023

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400051

Scrip Code: 511716

Dear Sir/ Ma'am,

Sub: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations')

In compliance with Regulation 40(9) of SEBI Listing Regulations, please find enclosed herewith the Compliance Certificate dated April 12, 2023, for the year ended March 31, 2023, duly signed by Mr. Umesh Kumar (CP No. 8361) of M/s Umesh Kumar & Associates, Company Secretaries confirming the compliance of the said Regulation.

Kindly take the same on record.

Thanking you,
Yours faithfully,
For **Escorts Finance Limited**

Vicky Chauhan
Company Secretary

Encl.: As above



UMESH KUMAR & ASSOCIATES
COMPANY SECRETARIES

A Peer Review Firm

Office No. 301, 3rd Floor, Sagar Plaza-II, Plot No. 27,
Behind M2K Cinema, DDA Commercial Complex,
Road No. 44, Pitampura, New Delhi - 110034

Phone : 011-44710506, +91-9990137410, +91-9999550383
E-mail : csumesh07@gmail.com | Web : www.ukassociatesindia.in

GST IN : 07APOP1480P1Z7

To,

The Board of Directors,

Escorts Finance Limited

Plot No. 19, Industrial Area,

Phase 2, Chandigarh - 160002

Dear Sir/ Ma'am,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('SEBI Listing Regulations')

Pursuant to Regulation 40(9) of SEBI Listing Regulations, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited ('Company')** maintained by **Alankit Assignments Limited** pertaining to the transfer of equity shares of the Company for the financial year ending 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI Listing Regulations based on the revised Listing Agreement which was entered into by **Escorts Finance Limited** with BSE Limited (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during year ending on **31st March, 2023:**

- A) There was no request for transfer of shares.
- B) There was no request for transmission of shares.
- C) There was no request for issue of duplicate shares certificates.
- D) There was no request for consolidation/ split of shares.
- E) There are no case for sub-division, renewal, exchange of certificates and endorsement*for payment of call / allotment money.

For UMESH KUMAR & ASSOCIATES

COMPANY SECRETARIES



CS UMESH KUMAR

M. No. 21567 C.P No- 8361

Peer Review Certificate No. 1895/2022

UDIN: A021567E000069274

Place: New Delhi

Date:12.04.2023

Our Banker : HDFC Bank Limited, 10/30, East Patel Nagar, New Delhi - 110 008

HDFC Current Account No. 13652000000973 Name : Umesh Kumar & Associates IFSC Code : HDFC0001365

SWIFT CODE : HDFCINBBDEL