

SWAN ENERGY LIMITED (Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J.N. Heredia Marg, Ballard Estate, Mumbai – 400001. Tel: 022 4058 7300, Email: invgrv@swan.co.in CIN: L17100MH1909PLC000294

Swan/nse/bse 9th January, 2023

Dept. of Corporate Compliances, National Stock Exchange Limited, Exchange Plaza, Plot No. C/1, G Block, Bandra –Kurla Complex, Bandra-East, Mumbai – 400 051 Listing Department, Bombay Stock Exchange Limited, P.J. Tower, Dalal Street, Fort, Mumbai – 400 001

Dear Sir,

<u>Sub: Certificate under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 for the Quarter ended 31st December, 2022</u>

We are hereby submitting Certificate received by the Company under Regulation 74(5) of SEBI (Depositories and Participants) Regulation, 2018 from the Registered Transfer Agent of the Company for the Quarter ended 31st December, 2022.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited

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Serialkumber-C090304F-C1888E88802
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DFAO35A683683,cin-841749E5
DFAO55A683683,cin-841749E5
DFAO55A683683683,cin-841749E5
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PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)
GST No. 27AAACP4924D1ZO • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai - 400 011 Tel: 2301 2518 / 2301 6761 • Email: support@purvashare.com • Web: www.purvashare.com

02/01/2023

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Confirmation Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that security received from the depository participants for dematerialisation during the quarter ended December, 2022, were confirmed (accepted / rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the prescribed timelines. We request you to kindly take note of the above in your records.

Thanking you,

Yours faithfully,

For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from 01/10/2022 to 31/12/2022

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
A0010005	ARNAVAZ JEHANGIR LELINWALA	0303872	481901	482400	500	11/10/2022
R0010008	RODA RUSI KARANJIA	0303875	1255501	1256000	500	20/10/2022
045604	SUDHIR GANGADHAR BORGAONKAR	0303877	1228401	1229900	1500	21/11/2022
045461	SUDHIR GANGADHAR BORGAONKAR	0303876	1188201	1189200	1000	21/11/2022
043311	KAILASH CHAWLA	0301232	840201	840300	100	28/11/2022
J0010005	JAYESH JAYENDRA MEHTA	0303878	892501	893000	500	30/11/2022
045907	DALSUKHBHAI MANILAL SHAH	0303874	1268601	1268900	300	03/12/2022
D0010007	DIVYAKANT MOHANLAL SALOT	0302433	1827001	1827100	100	28/12/2022