

CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001
Tel: 22662150 www.ctcl.co.in inctclbse@gmail.com CIN:L51909MH1980PLC231713

Date: 26/04/2023

To,
The Manager
DCS-CRD
BSE Ltd.
Phiroze Jeejeebhoy Towers
Dalal Street, Fort,
Mumbai - 400001

Scrip Code: 504340

Sub: Filing of Certificate pursuant to Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the Financial Year ended on 31st March, 2023.

Dear Sir/Madam,

Please find enclosed herewith the certificate for Compliance of Regulation 40(9) of SEBI(LODR) Regulations, 2015 obtained from Ritika Agrawal, Practising Company Secretary for the Financial year ended on 31st March, 2023.

You are requested to please take on record the above said document for your reference & further needful.

Thanking You
Yours Faithfully

For Confidence Finance and Trading Limited

Shweta



**SHWETA SHARMA
COMPANY SECRETARY &
COMPLIANCE OFFICER**

Encl: as above



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CONFIDENCE FINANCE AND TRADING LIMITED** having CIN **L51909MH1980PLC231713** and having registered office at 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001 (hereinafter referred to as 'the Company'), maintained by Purva Sharegistry (India) Pvt Ltd, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 1st April, 2022 to 31st March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- A. the Company has not delivered during financial year ended on 31st March, 2023 Share Certificates relating to the Transfer of Shares during the period from 1st April, 2022 to 31st March, 2023 as there were no request received for transfer, consolidation, sub-division (spilt), renewal and exchange or endorsement of calls/allotment monies during the aforesaid period as per the records made available to us.
- B. any other information, if applicable: None

For Ritika Agrawal & Associates

RITIKA Digitally signed
by RITIKA
SHALABH SHALABH
AGRAWAL AGRAWAL
Date: 2023.04.25
12:41:12 +05'30'

Ritika Agrawal

Proprietor

M. No. 8949

COP No. 8266

UDIN: F008949E000184861



Place: Mumbai

Date: 25/04/2023