



IKAB SECURITIES & INVESTMENT LTD.

Regd. Off.: Raja Bahadur Compound, Building No.5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai - 400 001

☎ : 4046 3500 / 01

• E-mail : info@ikabsecurities.com

CIN: L17100MH1991PLC059848 • Website: www.ikabsecurities.com

Date: 13.10.2020

The General Manager
BSE LIMITED
P. J. Towers, Dalal Street
Mumbai - 400 001

Scrip code: 514238

Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015

Sir / Madam,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed is copy of the Certificate from the practicing Company Secretary for the half year ended 30.09.2020

Thank you.

Yours truly,
For Ikab Securities and Investment Limited


Anil Kumar Bagti
Director

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020**

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01st April, 2019. Further, SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019.

As informed to us electronically by Satellite Corporate Services Private Limited, Registrar and Share Transfer Agents (RTA) of **IKAB Securities & Investment Limited** (the Company), for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) There were no requests relating to transfers, deletion of name, transmission of securities, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the period from 01st April, 2020 to 30th September, 2020.

B) Any other information, if applicable: --

For PARIKH PAREKH & ASSOCIATES

Place: Mumbai

Date: October 09, 2020

Signature:

Name of Company Secretary: **Mohammad Pillikandlu**

FCS: 10619 CP: 14603

UDIN: F010619B000896735