

SEC/31/2020-21

August 12, 2020

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai 400 001. Stock Code : 532638	National Stock Exchange of India Limited Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai 400 051. Stock Symbol : SHOPERSTOP
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Dear Sir / Madam,

Subject: Reporting of violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 (“the SEBI Regulations”)

This is to inform you that in terms of the SEBI Regulations, as amended, read with the SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, the details of violation by a designated person, related to the Code of Conduct under the SEBI Regulations and of Shoppers Stop Limited’s Insider Trading Code (“the Code”) are enclosed herewith, along with action taken by the Company, in prescribed format, as **Annexure A**.

This is for your information and record.

Thank you.

Yours faithfully,
For **Shoppers Stop Limited**

Bharat Sanghavi
Company Secretary & Compliance Officer

Encl: A/a

Shoppers Stop Ltd.

Registered & Service Office : Umang Tower, 5th Floor, Mindspace, Off. Link Road, Malad (W), Mumbai 400 064.
T 022- 42497000 CIN : L51900MH1997PLC108798. Email : customer-care@shoppersstop.com Website www.shoppersstop.com

Annexure A
Report by Shoppers Stop Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

(Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015)

Sr. no.	Particulars	Details		
1	Name of the listed company/ Intermediary / Fiduciary	Shoppers Stop Limited		
2	Reporting in capacity of: Listed Company / Intermediary / Fiduciary	Listed Company		
3	A. Details of Designated Person (DP)			
	i. Name of the DP	Mr. Shilpee Sharma		
	ii. PAN of the DP	AAAPS2684N		
	iii. Designation of DP	Chief Creative Officer		
	iv. Functional Role of DP	Head of creative / designing department.		
	v. Whether DP is Promoter or belongs to /Promoter Group	No		
	B. If Reporting is for immediate relative of DP			
	i. Name of the immediate relative of DP	Not applicable		
	ii. PAN of the immediate relative of DP	Not applicable		
	C. Details of transaction(s)			
	i. Name of the scrip	SHOPERSTOP		
	ii. No of shares traded and value (Rs.) (Date-wise)	Date of trade	No. of shares	Value per share
		August 5, 2020	100 – Buy	Rs. 161
	D. In case value of trade(s) is more than Rs. 10 Lacs in a calendar quarter			
	i. Date of intimation of trade(s) by concerned DP/ director/ promoter/ promoter group to Company under regulation 7 of SEBI Trading) Regulations, 2015	Not Applicable, as the value of trade does not exceed Rs. 10 lacs in a calendar quarter.		
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015			
4	Details of violations observed under Code of Conduct	Trade during prohibited period declared by the Company for adoption of un-audited financial results for the quarter ended June 30, 2020.		
5	Action taken by Listed company/ Intermediary / Fiduciary	DP was issued a warning in respect of said violation that any subsequent default / violation, if any observed / found, at the end of DP / immediate relative, it would entail stringent penal/ disciplinary action.		

Shoppers Stop Ltd.

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6	Reasons recorded in writing for taking action stated above	1. The number of shares and amount involved in the trade were minimal. 2. It was a first instance of violation by DP.												
7	Details of the previous instances of violations, if any, since last financial year	None												
8	If any amount collected for Code of Conduct violation(s):													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not applicable												
	ii. Details of transfer/payment In case of Online:	Not applicable												
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9	Any other relevant information	None												

Yours faithfully,
For **Shoppers Stop Limited**

Bharat Sanghavi
Company Secretary & Compliance Officer

PAN: APRPS4260C
Email id: Bharat.sanghavi@shoppersstop.com
Mobile Number: +91 9619660294
Date: August 12, 2020
Place: Mumbai

Shoppers Stop Ltd.

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