

UPASANA FINANCE LIMITED

Regd Off: Sapna Trade Centre, 10th Floor,
10B/2 No. 109, P.H Road, Chennai – 600 084

CIN: L65191TN1985PLC011503

Email ID: upasana_shares@yahoo.com

SCRIP Code: 511764, ISIN: INE819K01014

Mob No.7305319733

29 APR 2021.

To
DCS – CRD
The Stock Exchange, Mumbai
PJB Towers, Dalal Street
Mumbai – 400 001

Dear Sir,

Sub: Certificate under Regulation 40 of the SEBI (Listing Obligations
and Disclosure Requirements) Regulations, 2015

With reference to above, we're hereby enclosing a certificate received under
Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements)
Regulations, 2015 for the half year ended 31.03.2021.

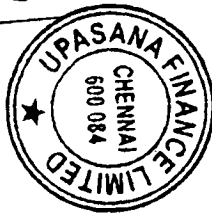
Kindly acknowledge the receipt and do the needful.

Thanking you
Yours faithfully

For **UPASANA FINANCE LIMITED**

Y. Bhavika Jain

BHAVIKA M JAIN
Director
DIN: 07704015



Encl:- As above

A. K. JAIN & ASSOCIATES

COMPANY SECRETARIES



S. Anil Kumar Jain B.Com., FCS

Balu Sridhar M.A.C.S., FCS., LLB

Pankaj Mehta B.Com (C.S.), ACS

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

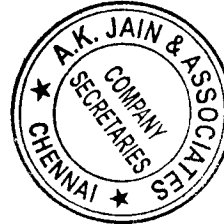
FOR THE HALF YEAR ENDED 31ST MARCH, 2021

We have examined the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. UPASANA FINANCE LTD (the Company)** maintained by **M/s. Integrated Registry Management Services Private Limited** ("Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from 1st October 2020 to 31st March 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and we hereby certify that the Company has not received any requests for physical Transfer, Transmission, Split, Consolidation and duplicate share certificates for the period from 01.10.2020 to 31.03.2021.

Date: 29.04.2021

Place: Chennai

**For A.K.JAIN & ASSOCIATES
Company Secretaries**



BALU SRIDHAR

Partner

M.NO. F5869

C.P.NO. 3550

UDIN: F005869C000210392