



ASIAN TEA & EXPORTS LTD.

CIN No. L24219WB1987PLC041876

Sikkim Commerce House, 4/1, Middleton Street, Kolkata - 700 071

Tel: +91 33 4006 3601 / 3602

E-mail: info@asianteaexports.com, Website: www.asianteaexports.com

23th April 2022

To,

Bombay Stock Exchange Limited
Phiroze Jeejebhoy Towers
Dalal Street, 25th Floor
Mumbai- 400001
Script Code: 519532

Calcutta Stock Exchange Association
Limited
7, Lyons Range
Kolkata – 700001
Script Code: 011053

Dear Sirs,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We enclose herewith a certificate for the year ended 31st March, 2022, duly signed by Ms Prachi Todi, Practicing Company Secretary, pursuant to the provisions of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your kind information and records.

Thanking You,

Yours faithfully,

For Asian Tea & Exports Ltd

Annu Jain

Annu Jain
(Company Secretary)



Prachi Todi

Company Secretaries
33C, Satish Mukherjee Road,
Near Gouriya Math,
Kolkata-700026
Mobile No: 9830072442

Email: csprachi92@gmail.com; info.prachi92@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE YEAR ENDED 31ST MARCH, 2022

The Securities and Exchange Board of India ("SEBI") vide its gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018 amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in demat form only with effect from 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to the aforesaid deadline and returned due to deficiency in the documents may be re-lodged for transfer even after 1st April, 2019.

Accordingly, I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **M/s Asian Tea and Exports Limited**, having its Registered Office at Sikkim Commerce House, 4/1 Middleton Street, Kolkata-700071, maintained by **M/s S.K. Infosolutions Private Limited** of D/42, Katju Nagar Colony, PO & PS- Jadavpur, Kolkata - 700032, pertaining to transfer of equity shares of the Company from the period **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015, and based on the information provided by the Company/ RTA, I hereby certify that:

- a) That there were no instances of physical transfer. However, 3 (three) cases of transmission of securities consisting of 800 Shares were received during the period from **1st April, 2021 to 31st March, 2022**, which were processed within the stipulated time period;
- b) That there were no requests relating to sub-division (split), consolidation, renewal, exchange or endorsement of calls/ allotment monies with respect to equity shares during the period from **1st April, 2021 to 31st March, 2022**.

For PRACHI TODI
PRACTICING COMPANY SECRETARY

Prachi Todi



Date: 18/04/2022
Place: Kolkata

ACS No. 53022
C.P. No. 22964
UDIN: A053022D000149021