

SRESTHA FINVEST LIMITED

Date: 05th April 2021

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarter and year ended 31/03/2021

With reference to the above subject we are herewith submitting the compliances pertaining to quarter and year ended 31/03/2021 are as follows:

1. Disclosure under 13(3) of SEBI Regulations, Investor Complaints for the quarter and year ended 31st March 2021.
2. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter and year ended 31st March 2021.
3. Compliance Certificate Certifying Maintaining Physical & Electronic Transfer Facility under Regulation 7 (3) of SEBI (LODR) Regulations, 2015.
4. Fund Raising by Issuance of Debt Securities by Large Entities pursuant to SEBI Circular SEBI/HO/DDHS/CIR/P/2018/144 dated 26th November 2018.

This is for your information and records.

**Yours faithfully,
For Srestha Finvest Limited**

05-04-2021

X A. JITHENDRA KUMAR BAF...

Company Secretary & Compliance Officer
Signed by: A. Jithendra Kumar Bafna

Encl: as above

SRESTHA FINVEST LIMITED

ISIN: INE606K01023

Scrip Code: 539217

Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

We being the Authorised Representatives of the Share Transfer Agents do hereby certify that the M/s. Srestha Finvest Limited having its Registered Office at No.35/1, Muthu Krishnan Street, Kondithope, Chennai - 600079 has appointed M/s. Purva Shareregistry (India) Private Limited as its Share Transfer Agents (STA) having its Registered Office/Place of activities at Shiv Shakti Ind. Estt. J .R. Boricha marg, Opp. Kasturba Hospital Lane, Lower Parel (E) Mumbai - 400011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112

Further, M/s. Purva Shareregistry (India) Private Limited as a STA has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of M/s. Srestha Finvest Limited during the period from 01st October 2020 to 31st March 2021 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of M/s. Srestha Finvest Limited

For Srestha Finvest Ltd.

05-04-2021

X A. JITHENDRA KUMAR BAF...

Company Secretary & Compliance Officer
Signed by: A. Jithendra Kumar Bafna

For Purva Shareregistry (India) Pvt. Ltd.

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DHURI DEEPALI DHURI
Date: 2021.04.05
12:17:06 +05'30'

Compliance Officer / Authorised Signatory

Place: Chennai

Date: 05/04/2021