THE UGAR SUGAR WORKS LIMITED.

Works Ugar Khurd - 591 316, Dist.Belgaum, Karnataka

E-mail helpdesk@ugarsugar.com

Regd. Office * Mahaveernagar, Sangli – 416 416, Maharashtra. E-mail

Usw.sangli@ugarsugar.com

Phone * -91 8339 272230 (5 Lines) Fax * -918339 272232 Website

www.ugarsugar.com

Phone -91 233 2623717, 2623716 Fax * -91 233 2623617

TIN No. * 29520007001, PAN-AAACT7580R GSTIN *

29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.

(CIN - L15421PN1939PLC006738)

Date: 09.02.2023 Sec/

To.

The Executive Director,

Bombay Stock Exchange Ltd., P J Towers, Dalal Street, Mumbai.

Tel No: (022) 22721234

Fax No: (022) 22721278/22722039

Stock Code: 530363

Dear Sir,

To. **Corporate Communications**

National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block Bandra-Kurla Complex, Bandra (E)

Mumbai - 400 051 Tel No: (022) 26598148

Fax No: (022) 26598120 Stock Code: UGARSUGAR

Sub: Regulation 39(3) information regarding loss of share certificate.

The below Details are intimation for issue of duplicate certificate and date of intimation is 09.02.2023

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name
THE UGAR SUGAR WORKS LTD	15194	67484425	67485324	0006701	900	SHRIPAD ASHWATH JOSHI
THE UGAR SUGAR WORKS LTD	6701	6462301	6462800	0006701	500	SHRIPAD ASHWATH JOSHI
THE UGAR SUGAR WORKS LTD	6701	6462801	6463300	0006701	500	SHRIPAD ASHWATH JOSHI
THE UGAR SUGAR WORKS LTD	6701	21612201	21612450	0006701	250	SHRIPAD ASHWATH JOSHI
THE UGAR SUGAR WORKS LTD	6701	39089391	39089640	0006701	250	SHRIPAD ASHWATH JOSHI

Kindly acknowledge the receipt.

Thanking you, Yours faithfully, For The Ugar Sugar Works Ltd.,

Company Secretary (M. No: A45586)

From: bssduplicate@bigshareonline.com [mailto:bssduplicate@bigshareonline.com]

Sent: Thursday, February 9, 2023 6:05 PM

To: tushar.deshpande@ugarsugar.com; usw.secretrialdept@ugarsugar.com

Cc: harisha@bigshareonline.com; bss.transactions@bigshareonline.com; sujit@bigshareonline.com;

bssduplicate@bigshareonline.com

Subject: Duplicate Share Intimations Received On: 20230209 THE UGAR SUGAR WORKS LTD Folio no:

0006701

Dear Sir/Ma'am,

Please be informed that in terms of the provisions of the SEBI (LODR) Regulations, 2015, the Company is required to submit information regarding loss of share certificates and issue of duplicate certificates, to the stock exchange within two days of its getting information. Further, the said intimation has to be filed only through the mode of NEAPS filing for NSE and on listing.bseindia.com for BSE and not via hard copy submission.

Accordingly, we are sharing herewith the receipt of the following request for loss of share certificate of the Company by shareholders, to enable you to comply with the said regulatory requirement within the timelines prescribed.

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name	JH 1	JH 2
THE UGAR SUGAR WORKS LTD	15194	67484425	67485324	0006701	900	SHRIPAD ASHWATH JOSHI		
THE UGAR SUGAR WORKS LTD	6701	6462301	6462800	0006701	500	SHRIPAD ASHWATH JOSHI		
THE UGAR SUGAR WORKS LTD	6701	6462801	6463300	0006701	500	SHRIPAD ASHWATH JOSHI		
THE UGAR SUGAR WORKS LTD	6701	21612201	21612450	0006701	250	SHRIPAD ASHWATH JOSHI		
THE UGAR SUGAR WORKS LTD	6701	39089391	39089640	0006701	250	SHRIPAD ASHWATH JOSHI		

Should you have any queries in respect of the aforesaid instruction kindly revert back.

Regards,

Bigshare Services Pvt. Ltd.

Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 01 / 02 / 2023

A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick \checkmark as relevant, refer to the instructions):

Demat Account No. (If available): 19556239 [IN 300214-19556239]
 Provide Client Master List (CML) of your Demat Account from the Depository Participant*

• Provide the following details, if they are not already available with the RTA (see <u>SEBI</u> circular dated November 03, 2021 in this regard)

PAN ADDPJ8245J		Specimen Signature	
Nomination / Declaration to Opt	-out	Wayou.	
Name of the Issuer Company	Ugar Sugar Works Ltd.		
Folio Number	0006701		

* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use Form ISR-1 in <a href="SEBI circular dated November 03, 2021.

B. I / We request you for the following (tick ✓ relevant box)	611480
Issue of Duplicate certificate	Claim from L Account	Jnclaimed Suspense
Replacement / Renewal / Exchange of securities certificate	Endorsement	BIGSHARE SERVICES PVT. LTD. RECEIVED Subject to Verification
Sub-division / Splitting of securities certificate	Consolidation of Folios	0 9 FEB 2023
Consolidation of Securities certificate	Transmission	Sign.:

2880h

Transposition (Mention the new order of holders here)

C. I / We are enclosing certificate(s) as detailed below**:

Name(s) of the security	1. LATE SHRIPAD, A JOSHI		
holder(s) as per the	2. 3. to		
certificate(s)			
Certificate numbers	6701, 15194		
Distinctive numbers	6462301 to 6462800 6462801 to 6463300 21612201 to 21612450 39089391 to 39089640 67484425 to 67485324		
Number & Face value of securities	2400 shares, Rs. 2,37,600/- face value		

^{**} Wherever applicable / whichever details are available

D. Document / details required for specific service request:

I. / Duplicate securities certificate

II. Claim from Unclaimed Suspense Account

Securities claimed	Til.	(in numbers)
		(in words)

- III. Replacement / Renewal / Exchange of securities certificate
 (that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV. Endorsement
- V. Sub-division / Splitting of securities certificate
- VI. Consolidation of securities certificate/Folios

VII. Transmission

VIII. Transposition

Provide / attach original securities certificate(s) <u>for request for item numbers III to VIII</u> above.

negen.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	Security Holder 2	Security Holder 3
Signature	notori.	e de la companya de l	
Name	VIJAY SHRIPAD JOSHI		
Full address	C-304, Magan Silver Hill, Chikkalasandra		G -7
	BSK 3 rd Stage, Bangalore, Karnataka, India	_	
PIN			17.4
	560061		

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.

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