

USFB/CS/SE/2022-23/07

**Date:** April 11, 2022

**To,**

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai – 400 051

**BSE Limited**  
Listing Compliance  
P.J. Tower,  
Dalal Street, Fort,  
Mumbai – 400 001

**Symbol:** UJJIVANSFB

**Scrip Code:** 542904

Dear Sir/Madam,

**Sub: Compliance Certificate under Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2022.**

We hereby confirm that all activities in relation to share transfer facility are maintained by our Registrar and Share Transfer Agent i.e. M/s. KFin Technologies Limited (Formerly known as KFin Technologies Private Limited).

Pursuant to the captioned regulation, we hereby enclose the compliance certificate dated April 04, 2022 received from our Registrar and Share Transfer Agent and duly certified by us for the half year ended March 31, 2022.

We request you to take note of the above.

Thanking You,

Yours faithfully,  
**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Sanjeev Barnwal**  
**Company Secretary & Head of Regulatory Framework**

**COMPLIANCE CERTIFICATE**

**THIS IS TO CERTIFY that, KFIN TECHNOLOGIES LIMITED (KFIN) is providing Registry and Share Transfer services to UJJIVAN SMALL FINANCE BANK LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).**

**FURTHER CERTIFIED that KFIN:**

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year

**THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.**

**For KFin Technologies Limited**



**Mohd Mohsin Uddin**  
Senior Manager

**Place : Hyderabad**  
**Date : 04/04/2022**

**KFin Technologies Limited**

(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**

Selenium, Tower B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally Hyderabad Rangareddi, Telangana – 500032, India

**CIN: U72400TG2017PLC117649**