



Date: 19.10.2020

The Head- Listing Compliance BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, FortMumbai- 400001 Security Code: 511611	The Head- Listing Compliance National Stock Exchange of India Ltd. Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex Mumbai - 400 051 Stock Code: DCMFINSERV	The Head- Listing Compliance The Calcutta Stock Exchange Ltd. 7, Lyons Range, Murgighata, BBD Bagh, Kolkata, West Bengal- 700001 Scrip Code: 014032
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Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015

Dear Sir/Ma'am,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on **30th September, 2020** in compliance with the regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Faithfully,

For DCM Financial Services Limited

(Shantanu Deveshwar)
Whole Time Director
DIN: 08268523

Place: New Delhi

Encl: as stated

DCM FINANCIAL SERVICES LIMITED

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: info@dfsionline.com

Website: www.dfsionline.com



OJHA & ASSOCIATES

Company Secretaries

473, Patel Nagar, P.O. Harjinder Nagar, Kanpur-208007

E-Mail: cstanayojha@gmail.com, Ph: +[91-9198069316](tel:91-9198069316)

CERTIFICATE UNDER REGULATION 40(9) OF THE
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **DCM Financial Services Limited** with exchanges and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **30th September, 2020**.

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1st April, 2020 to 30th September, 2020** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Ojha & Associates,
Company Secretaries**

TANAY Digitally signed
by TANAY OJHA
OJHA Date: 2020.10.17
14:13:26 +05'30'

Tanay Ojha
Company Secretary
Memb. No.: A29658
C.O.P. No.: 10790

Date: 17-10-2020

Place: Kanpur

UDIN: A029658B000982874