SRESTHA FINVEST LIMITED

Date: 05th January, 2022

To The Manager **Listing Department**

- 1. Bombay Stock Exchange Ltd, Mumbai
- 2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the quarter ended 31/12/2021

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 31/12/2021 are as follows:

- 1. Disclosure under 13(3) of SEBI (LODR) Regulations, 2015, Investor Complaints for the quarter ended 31st December, 2021.
- 2. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 31st December, 2021.
- 3. Quarterly Compliance Report on Corporate Governance for the quarter ended 31st December, 2021.

Phone No. 044-25206006

E-mail: srestha.info@gmail.com

CIN: L65993TN1985PLC012047

4. Reconciliation of Share Capital Audit for the quarter ended 31st December, 2021.

This is for your information and records.

Yours faithfully, For Srestha Finvest Limited

A. JITENDRA

Digitally signed by A. JITENDRA KUMAR BAFNA KUMAR BAFNA Date: 2022.01.05 17:30:07

Company Secretary & Compliance Officer

Encl: as above



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents) Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011 Tel: 2301 2518 / 2301 6761 • Fax: 2301 2517 • Email: support@purvashare.com • Web: www.purvashare.com

01/01/2022

To,

SRESTHA FINVEST LIMITED - INE606K01023

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a
 certificate to this effect to the Depositories and to every stock exchange on a quarterly basis,
 where the security is listed.

Thanking you,

Yours faithfully, For Purva Sharegistry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SRESTHA FINVEST LIMITED - INE606K01023 - Details of Share certificates dematerialised from 01/10/2021 to 31/12/2021

FOLIO	NAME OF SHAREHOLDE R	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALIS ED ON
00000252	SHOBHA SUKHANI	0101142	75130351	75130700	350	29/10/2021
00004107	NIRANJANA PUNAMCHAND SHAH	0100614	4561001	4561500	500	01/11/2021
00004107	NIRANJANA PUNAMCHAND SHAH	0101665	75474851	75474900	50	01/11/2021