



Gargi

by P. N. Gadgil & Sons

18th April, 2023

To,
The Corporate Relationship Department,
BSE Limited
1st Floor, PJ Towers,
Dalal Street,
Mumbai 400 001

Ref: BSE Scrip Code – 543709

■ **Sub: Filing of the yearly certificate under Regulations 40 (10) of the SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015**

Dear Sir/ Madam,

■ Pursuant to provisions of Regulations 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Certificate issued by the Practicing Company Secretary dated 17th April, 2023, for the period from 20th December, 2022 (date of listing of the Company on BSE SME) to 31st March, 2023.

Please acknowledge the receipt.

Thanking you,

Yours sincerely,

For **PNGS Gargi Fashion Jewellery Limited**

Bhargavi Aphale
Company Secretary & Compliance Officer
ICSI M. No. A63292

PNGS GARGI FASHION JEWELLERY LIMITED (w.e.f. 02/11/2022)

CIN: - U36100PN2009PLC133691 (Formerly known as PNGS Gargi Fashion Jewellery Private Limited (from 21/09/2022 to 01/11/2022),
P. N. Gadgil & Sons Gargi Costume Jewellery Private Limited (from 22/09/2021 to 20/09/2022) & Resonant Consulting Private Limited (till 21/09/2021))

📍 Registered Office : S. No. 37/1 & 37/2, Near Lokmat News Paper, Wadgaon Khurd, **Punc** 411041

🌐 www.gargibypng.com www.gargi.shop Email-Id: info@gargibypng.com

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DAKHAWE APTE & ASSOCIATES

Company Secretaries

A/6, "Vishwakul", Swanand Society,

Sahakarnagar No. 2, Pune 411009.

Tel: 9527353013 / 9822289335; E-mail: mail@abhijitdakhawe.com

CERTIFICATE UNDER REGULATION 40(9) & (10) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED MARCH 31, 2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

PNGS Gargi Fashion Jewellery Limited (Company), (CIN U36100PN2009PLC133691) was listed on BSE SME on December 20, 2022 under Scrip Code 543709. Accordingly, the for the purpose of this certificate, the period considered is from December 20, 2022 till March 31, 2023.

Based on the information provided by the Company and M/s Bigshare Services Private Limited (R & T) and on the basis of verification of records relating to the Company maintained by R & T, pertaining to transfer of equity shares of the Company, for the period from December 20, 2022 to March 31, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015;

We hereby certify that for the year ended on March 31, 2023:

A. The Company has not received any request for physical transfer and transmission of shares during the period from December 20, 2022 to March 31, 2023;

B. The Company has not received any request for issuing duplicate or replacement of share certificates during the period from December 20, 2022 to March 31, 2023;

C. The Company has not received any request for issuing split share certificates or for sub-division, consolidation, renewal, Endorsement of calls/Allotment money and exchange during the period from December 20, 2022 to March 31, 2023.

For Dakhawe Apte & Associates

Company Secretaries

UIN: P2022MH090400

PR No. 1815/2022

RAGHUNATH Digitally signed by
RAGHUNATH SHARAD APTE
Date: 2023.04.17 12:20:23
+05'30'

SHARAD APTE
Raghunath Apte

Partner

ACS No. 17619

CP No. 25183

UDIN: A017619E000114848

Place: Pune

Date: 17-APR-2023
