



CIN No. : L24230GJ2011PLC064731

GST No. : 24AADCN5937D1ZM

# NOVATEOR RESEARCH LABORATORIES LIMITED

(Innovative Cosmetic and Pharma Products)

Date: 6<sup>th</sup> June, 2022

To,  
Department of Corporate Services  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai - 400 001

(Script Code: 542771)

Subject: Revised Certificate from Practicing Company Secretary in the matter of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirement) Regulations 2015

Dear Sir/ Madam,

Pursuant to Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations 2015, we are enclosed herewith the revised compliance certificate by Practicing Company Secretary for the year 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

Please take same on your record and oblige.

Thanking you,

Yours faithfully,

For, NOVATEOR RESEARCH LABORATORIES LIMITED

POONAM P. PANCHAL  
Company Secretary & Compliance Officer  
Membership No.: A44616



• **Reg. Office:**

B-1001 Titanium City Center, Near Dhananjay Tower, 100 Feet Ring Road,  
Near Shyamal Cross Road, Anand Nagar, Ahmedabad - 380015

**Contact Detail**

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**E-mail :**

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**Web :**

[www.novateor.com](http://www.novateor.com), [www.smiloshine.com](http://www.smiloshine.com)



**MEHUL RAVAL**  
**COMPANY SECRETARY**

406, GANESH PLAZA  
OPP. SWAMINARAYAN AVENUE,  
ANJALI VASNA ROAD, ANJALI,  
AHMEDABAD - 380007.

Email Id: mehulkraval@gmail.com

Mobile No.: +91-9725488721

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015 FOR THE YEAR ENDED MARCH 31, 2022.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019. Further, SEBI vide its circular dated September 07, 2020 has fixed March 31, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities Certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to fully paid shares of NOVATEOR RESEARCH LABORATORIES LIMITED ('the Company') maintained and provided electronically by KFin Technologies Limited, Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended March 31, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

- A) The Company has not received any Share Transfer requests during the year from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

B) The Company has not received any request for Sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, reissue the Certificate, Deletion of name of the deceased holder(s) of securities, where the securities are held in the name of two or more holders of securities, Transposition of securities, when there is a change in the order of names in which physical securities are held jointly in the name of two or more holders of securities, Transmission of securities during the year from 1<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022.

**FOR, MEHUL RAVAL  
COMPANY SECRETARY**

**Place: Ahmedabad  
Date: 02-06-2022**



*Mehul Raval*

**UDIN: A028155D000455395**

**ACS: 28155  
C. P. No.: 10500**