



MCSL/SEC/20-21/100

October 23, 2020

**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai - 400 001  
**Scrip Code - 511766**

**National Stock Exchange of India Limited**  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 051  
**Trading Symbol - MUTHOOTCAP**

Dear Sir/Madam,

**Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015 for the half year ended September 30, 2020**

Please find enclosed the Certificate under the Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2020.

Kindly take the same on your records.

Thanking you,

Yours Faithfully,

**For Muthoot Capital Services Limited**

**Abhijith Jayan**  
**Company Secretary & Compliance Officer**



**Encl:** as above

Partners:

**CS Sivakumar P.**, M.Com, FCMA, FCS

**CS Madhusudhanan E.P.**, M.com, FCMA, FCS

**CS Anju Panicker**, BA, LLB (Hons.), ACS

Reg.Office: Building No. C.C 56/172

K.C. Abraham Master Road,

Panampilly Nagar, Kochi-682036

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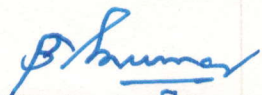
**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2020**

I have examined the relevant records, registers, files and all other documents of M/S. **MUTHOOT CAPITAL SERVICES LIMITED**, having its Registered office at 3rd Floor, Muthoot Towers, M.G. Road, Kochi Kerala- 682035 maintained by **Integrated Registry Management Services Private Limited**, II Floor, "Kences Towers", No.1 Ramakrishna Street, North Usman Road, T Nagar, Chennai - 600017, the Registrar and Share Transfer Agent (RTA) of the Company for the period from **1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **MUTHOOT CAPITAL SERVICES LIMITED** and based on the information provided by the Company, hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Share Transfer Committee of the company have not processed any share transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers and issue of Share Certificate(s) thereof during the said period.
- B) Share Certificates in respect of Share Transmission and Issue of Duplicate Certificate have been issued within the prescribed period from the date of lodgment thereof during the said period.
- C) No request for sub-division, consolidation, renewal, exchange, endorsement of calls/ allotment monies or issue of split share certificate has been received by the company during the said period.
- D) Any other information, if applicable: **NIL**

For SEP & Associates  
Company Secretaries  
(ICSI Unique Code: P2019KE075600)

UDIN: F003050B001043958

  
CS Puzhankarā Sivakumar  
Managing Partner  
COP: 2210 FCS: 3050

Date: 23.10.2020  
Place: Kochi

