

Date: 17<sup>th</sup> May, 2022

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| To,<br>Dept. of Corporate Services,<br>BSE Limited,<br>Phiroze Jeejeebhoy Towers,<br>Dalal Street, Mumbai – 400 001<br><b>BSE Scrip Code: 533161</b> | To,<br>The Listing Department,<br>National Stock Exchange of India Limited,<br>Exchange Plaza, Bandra Kurla Complex,<br>Bandra (East), Mumbai 400051<br><b>NSE Scrip Code: EMMBI</b> |
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Dear Sir,

**Sub: Submission of Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2022**

**Ref: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February, 2019**

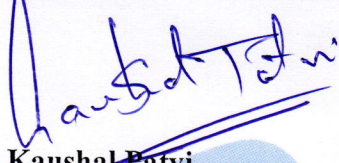
Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find attached herewith Annual Secretarial Compliance Report issued to the Company by M/s. Sanjay Dholakia & Associates, Practising Company Secretaries for the financial year ended 31<sup>st</sup> March, 2022.

Kindly take the above information on your records.

Thanking you,

Yours faithfully,

**For Emmbi Industries Limited**



**Kaushal Patvi**  
**Company Secretary**



Encl: As above



# **SANJAY DHOLAKIA & ASSOCIATES**

BCOM LLB FCS

## **COMPANY SECRETARIES**

GP 15, 2nd Floor, Raghuleela Mall, Behind Poincur Bus Depot, Kandivali (West), Mumbai - 400 067.  
☎ : 2807 3233 / 49713233 / 98700 31365 • E-mail : sanjayrd65@gmail.com / sanjay@srdholakia.com

**Secretarial Compliance Report of  
Emmbi Industries Limited for the year ended 31<sup>st</sup> March, 2022**  
*(Pursuant to Regulation 24A(2) SEBI (Listing Obligations and Disclosure Requirements),  
Regulations, 2015 read with circular dated 8th February 2019 issued by SEBI)*

**I, Sanjay Dholakia, Practising Company Secretary have examined:**

- (a) All the documents and records made available to us and explanation provided by Emmbi Industries Limited("the listed entity"),
- (b) The filings / submission made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,

**For the year ended ("Review Period") in respect of compliance with the provisions of:**

- (a) The Securities and Exchange Board of India Act,1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder: and
- (b) The Securities Contract (Regulation) Act,1956 ("SCRA"), rules made thereunder and the Regulation, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI Act");

**The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined include:-**

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirement) Regulations,2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirement) Regulations,2018;(Not Applicable during Audit Period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;(Not Applicable during Audit Period)
- (e) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;(Not Applicable during Audit Period)
- (f) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (g) Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003
- (h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 and circulars / guidelines issued thereunder.



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**And based on the above examination, I hereby report that, during the Review Period:**

- (a) The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued thereunder, except in respect of matters specified below :-

| Sr. No. | Compliance Requirement<br>(Regulations/Circulars/Guidelines including specific clause) | Deviations | Observations /Remarks Of The Practicing Company Secretary |
|---------|--|------------|---|
| NIL     |  |            |   |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars / guidelines issued thereunder insofar as it appears from my /our examination of those records.

- (c) The following are the details of actions taken against the listed entity / its promoters / Directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the standard operating procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and circulars /guidelines issued thereunder :

| Sr. No. | Action Taken By | Details Of Violation | Details Of Action Taken E.G. Fines, Warning Letter, Debarment, Etc. | Observations/Remarks Of The Practicing Company Secretary, If Any. |
|---------|-----------------|----------------------|---|---|
| NIL     |                 |                      |   |   |

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No. | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March, 2021 | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|---------|--|--|--|--|
| NIL     |  |  |  |  |



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**For SANJAY DHOLAKIA & ASSOCIATES**

(SANJAY DHOLAKIA)  
**Practicing Company secretary**  
Proprietor

FCS 2655 / CP 1798

Place: Mumbai  
Date: 16<sup>th</sup> May 2022

UDIN : F002655D000328310