



THE GRAND BHAGWATI
HOTELS • BANQUETS • CONVENTIONS • CLUB

Date: 18.04.2023

To,
Bombay Stock Exchange Limited
Corporate Service Department
Floor 25,
P.J Towers,
Dalal Street,
Mumbai-400001
BSE SCRIP ID -“532845”

National Stock Exchange of India Limited
Corporate Communication
Exchange Plaza,
Bandra-Kurla Complex,
Bandra(East)
Mumbai-400051
NSE CODE - “TGBHOTELS”

Dear Sir/Madam,

Sub: Submission of Certificate from Practicing Company Secretary pursuant to Regulation 40(9) and 40(10) of SEBI (LODR) Regulations, 2015.

Please find attached Compliance Certificate in compliance with Regulation 40(9) and 40(10) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended on 31st March, 2023.

You are requested to update records accordingly.

Thanking you,

Yours faithfully,

For, TGB Banquets and Hotels Limited

Gaurav Dixit
Company Secretary & Compliance Officer
Membership No: A53624

Encl: as Above

TGB BANQUETS AND HOTELS LIMITED
S.G. Road, Ahmedabad- 380054, Gujarat, India. Ph: 079 26841000, Fax: 079 26840915
E-mail: info@tgbhotels.com Website: www.tgbhotels.com
CIN: L55100GJ1999PLC036830



UMESH VED & ASSOCIATES
Company Secretaries

304, Shoppers Plaza-V, Opp. Municipal Market, C. G. Road, Navrangpura, Ahmedabad - 380 009.

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**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR
THE YEAR ENDED 31ST MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019. Further, SEBI vide its circular dated 7th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/ Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, we have examined all Shares transfer deeds, Memorandum of transfers, Registers, files and other documents relating to **M/s. TGB Banquets and Hotels Limited** having its registered office at The Grand Bhagwati, S. G. Highway Bodakdev, Ahmedabad, Gujarat-380054 maintained by their Registrar and Share Transfer Agent **M/s. Link Intime India Private Limited** pertaining to transfer of Equity Shares of the Company for the Period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI(Listing Obligation and disclosure requirements) Regulations 2015 and the listing Agreement entered into by **M/s. TGB Banquets and Hotels Limited**, with the Stock Exchanges.

Based on our examination of records of the Company, in our opinion and to the best of the information and according to explanation given to us, we hereby certify that

1. No name deletion was done during the financial year ended on 31st March, 2023.
2. No Share Certificate(s) relating to the Share Transfer Form(s) have been received during the financial year ended March 31, 2023, which could be entered in the Memorandum of Transfers and which could have been issued within Thirty (30) days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Place: Ahmedabad

Date: 18/04/23



Dwani Nagar
For Umesh Ved & Associates
Company Secretaries
Membership No. 71158
Peer Review No.: 766/2020
UDIN: F004411E000133133