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AWL/SEC/SE/2022-23/07

27<sup>th</sup> April, 2022

**BSE LTD.**

Phiroze Jeejeebhoy Towers,  
01<sup>st</sup> Floor, Dalal Street,  
Fort,  
Mumbai - 400 023.

**Company Scrip Code: 517041**

**NATIONAL STOCK EXCHANGE OF INDIA LTD.**

Exchange Plaza, C - 1, Block G,  
Bandra-Kurla Complex,  
Bandra (East),  
Mumbai - 400 051.

**Company Scrip Code: ADORWELD**

Dear Sir / Madam,

Sub: **Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015**

We are submitting herewith Certificate given by Practicing Company Secretary, under Regulation 40(9) read with Regulation 40(10) of Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015, for the year ended **31<sup>st</sup> March, 2022**.

We hereby request you to take this on your record and acknowledge its receipt.

Thanking you,

Yours Sincerely,

For **ADOR WELDING LIMITED**

VINAYAK M. BHIDE

**COMPANY SECRETARY & COMPLIANCE OFFICER**



Encl.: As above

**ADOR WELDING LIMITED**

Regd. & Corporate Office: Ador House, 6, K. Dubash Marg, Fort, Mumbai - 400 001 - 16, Maharashtra, India.

+91 22 6623 9300 | [www.adorwelding.com](http://www.adorwelding.com) | CIN: L70100MH1951PLC008647

☎ 1800 233 1071 | ✉ [care@adorians.com](mailto:care@adorians.com) | 📞 +91 20 40706000



# VPP & ASSOCIATES

Practicing Company Secretaries

Office No. 12,14<sup>th</sup> Floor, Navjivan Commercial Co-op. Society, Building No. 3, Lamington Road, Mumbai - 400 008.  
Tel: +91 22 6631 0888 / 6631 4830 | Website:hkacs.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2022**

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Ador Welding Limited**("the Company") maintained by **M/s. Link Intime India Pvt. Ltd.**, Registrar & Share Transfer Agent (RTA), pertaining to transfer of Equity Shares of the Company for the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information confirmed by RTA, we hereby certify that during financial year ended on **31<sup>st</sup> March, 2022**:

- A. There were 13 (Thirteen) requests relating to transfer of shares, received during the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**, out of which 04 (Four) request covering 355 Equity Shares were processed within stipulated time and 09 (Nine) requests were not processed, due to objection raised on technical grounds by RTA.
- B. The Company has received 07 (Seven) requests relating to name deletion during the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**, out of which 03 (Three) requests covering 797 Equity Shares were processed within stipulated time and 04 (Four) requests were not processed due to objection raised on technical grounds by RTA.
- C. The Company has received 108 (One Hundred and Eight) requests for transmission of shares during the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**, out of which 02 (Two) requests covering 290 (Two Hundred & Ninety) shares were processed within stipulated time, 102 (One Hundred and Two) requests covering 17,492 (Seventeen Thousand Four Hundred and Ninety Two) Equity Shares were in relation to transmission of shares to the Investor Education & Protection Fund (IEPF) account, pursuant to the requirement the Companies Act, 2013 read with IEPF Rules, 03 (Three) requests were not processed due to objection raised on technical grounds, while 01 (One) request is still under process by Registrar and Share Transfer Agent (RTA).
- D. During the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022**, the Company has processed 110 (One Hundred and Ten) requests for issue of Duplicate Share Certificates covering 18,350 Equity Shares, which were processed within stipulated time as per the SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/26 dated 25<sup>th</sup> February, 2022. Further, in compliance of the SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022, the Company has issued "Letter of confirmation" to the Shareholder in place of Duplicate Share Certificate, on & after 25<sup>th</sup> January, 2022.

- E. There was no transaction relating to sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the said period.

**For VPP & Associates  
Practicing Company Secretaries**

Pooja  
Mayank  
Jain



Digitally signed  
by Pooja Mayank  
Jain  
Date: 2022.04.27  
14:24:05 +05'30'

Pooja M. Jain

**Partner**

**C. P. No.9136 FCS No.8160**

**UDIN: F008160D000219723**

**Date:** 27<sup>th</sup> April, 2022

**Place:** Mumbai