

# GAURAV MERCANTILES LIMITED

3rd Floor, Tower 2B, One Indiabulls Centre, Senapati Bapat Marg, Lower Parel (West), Mumbai,  
Maharashtra 400 013 Tel: 020 45404000

Website: [www.gmlmumbai.com](http://www.gmlmumbai.com), email: [cs@gmlmumbai.com](mailto:cs@gmlmumbai.com), CIN:L74110MH1985PLC176592

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May 9, 2020

**BSE Limited**  
**Corporate Services Department**  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai -400 001

**Scrip Symbol:** GMLM

**Scrip Code:** 539515

**Subject: Regulation 40(9) and 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on March 31, 2020**

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Dear Sir/Madam,

Pursuant to the Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed a certificate dated May 6, 2020 from Rashi Sehgal & Associates, a Practicing Company Secretary in compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 certifying that for the six months period ended March 31, 2020, the Company/RTA has duly complied with the requirements of the above said regulation in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We request you to take the above information on record.

Thanking You,  
**For Gaurav Mercantiles Limited**



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**Anukrati Agarwal**  
Company Secretary



Encl:a/a



## COMPLIANCE CERTIFICATE

(Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015)

**For the half year ended 31<sup>st</sup> March, 2020**

We have examined all share transfer deed(s), Memorandum of Transfer(s), Registers, files and other documents and papers relating to M/s. Gaurav Mercantile Limited (the "Company"), having CIN: L74110MH1985PLC176592 and its registered office at 3rd Floor, Tower 2B, One Indiabulls Centre, Senapati Bapat Marg, Lower Parel (West), Mumbai - 400013, maintained by its Registrar and Share Transfer Agent (RTA) namely, M/s. Skyline Financial Services Private Limited, A/505, Dattani Plaza, Andheri Kurla Road, Safeed Pool, Andheri (E), Mumbai - 400072, pertaining to transfer of equity shares of the Company for the half year ended on 31<sup>st</sup> March, 2020 for the purpose of issuing a Compliance Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information, explanation, documents and records, as provided by the Company and its officials, including its Compliance Officer and information provided by R&T Agent, we hereby certify for the half year period ending 31<sup>st</sup> March, 2020:

- A. The Company has not received any request for transfer/ transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the half year from October 01, 2019 to March 31, 2020.
- B. Further, the Company has received the following request for issuing **duplicate shares** which has been entered in the Register of Members within the specified time:

No of Request received	No of Shares Received	No of request rejected	No of Shares Rejected	No of request Accepted	No of Shares Accepted
2	400	Nil	Nil	2	400

**For Rashi Sehgal & Associates  
(Company Secretaries)**



**Rashi Sehgal  
(Proprietor)  
M. No.- 8944**

**UDIN: F008944B000207307**

Place: New Delhi  
Date: May 06, 2020