



YBL/CS/2020-21/085

October 16, 2020

Listing Compliance & Legal
Regulatory BSE Limited
P.J. Towers, Dalal Street
Mumbai - 400 001
BSE Scrip Code: 532648

Listing Compliance
National Stock Exchange of India Limited
Exchange Plaza
Plot no. C/1, G Block,
Bandra - Kurla Complex
Bandra (E)
Mumbai - 400 051.
NSE Symbol: YESBANK

Dear Sirs,

Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended September 30, 2020.

Please find enclosed herewith Certificate received from U. Hegde & Associates, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half-year ended September 30, 2020.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For **YES BANK LIMITED**

Shivanand R. Shettigar
Group Company Secretary

Encl: As above

U. HEGDE & ASSOCIATES

COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
& DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF
YEAR ENDED SEPTEMBER 30, 2020**

I, have examined all the records and documents for the period from April 1, 2020 to September 30, 2020 in relation to Share Transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, maintained by Registrar & Transfer Agents ("RTA") KFin Technologies Private Limited having its office at Selenium Building, Tower B, Plot No-31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, 500032, for their client YES BANK LIMITED ("the Bank"), having registered office at YES BANK Tower, One International Center, Tower II, 15th Floor, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013, India, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. Based on the documents and information made available, I hereby certify that:

- A) During the period from April 1, 2020 to September 30, 2020, no transfer deeds were lodged with Bank/RTA, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.
- B) During the period from April 1, 2020 to September 30, 2020, the Bank/RTA did not receive request relating to sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.

FOR U. HEGDE & ASSOCIATES, COMPANY SECRETARIES

U. Hegde

UMASHANKAR K HEGDE
(Proprietor)
COP No- 11161 # M. No- A22133

ICSI UDIN No- A022133B000975481



Date: 16/10/2020

Place: Mumbai

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