



UFLEX LIMITED

Division/Office: CORPORATE - SECRETARIAL

Corporate Office: A-107-108, Sector-IV, Distt. Gautam Budh Nagar, NOIDA - 201301, (U.P.), India

Tel.: +91-120-4012345/2522558 Fax: +91-120-2442903

Website: www.uflexltd.com E-mail ID: secretarial@uflexltd.com

UFLEX/SEC/2023/

Date: 02/08/2023

The National Stock Exchange of India Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G-Block
Bandra - Kurla Complex
Bandra (E),
Mumbai – 400051

The BSE Limited
Corporate Relationships Department
1st Floor, New Trading Ring,
Rotunda Building, P J Towers,
Dalal Street, Fort,
Mumbai – 400 001

Scrip Code : UFLEX

Scrip Code: 500148

Dear Sir,

Sub : Reporting of violation of the Company's Code of Conduct under the SEBI (Prohibition of Insider Trading) Regulations, 2015

In terms of the SEBI Circular No. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, we are enclosing the report by Company for the violation of the Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Kindly take the above information on record.

Thanking you.

Yours faithfully,

For Uflex Limited,

**Ritesh Chaudhry
Sr. Vice President (Secretarial) &
Company Secretary**

Encl: As above

Annexure A

Report by Uflex Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Uflex Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Ashok Kumar Sharma
	ii. PAN of the DP	AMQPS9400C
	iii. Designation of DP	Assistant General Manager - EHS
	iv. Functional Role of DP	Operations
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	Uflex Limited Scrip Code :UFLEX (NSE) 500148 (BSE)
	ii. No of shares traded and value (Rs.) (Date- wise)	No. of Shares traded: 5 Shares(purchased) Value (in Rs.): 2043.10/- (Rs. 408.62/-per share) Date of transaction: 02-03-2023 No. of Shares traded: 1 Share (sold) Value (in Rs.): 433.39/- Date of transaction: 17-07-2023

Sr. No.	Particulars	Details
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade (s) by concerned DP / director / promoter / promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under Code of Conduct	Sold 1 Share during the period of closure of Trading Window and also having done contra trade in violation of Company's Code of Conduct for regulating, monitoring and reporting of trading by Insiders.
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Company sought Clarification from Mr. Ashok Kumar Sharma on the violation and issued a Show Cause Notice followed by a Warning Letter. Further, Mr. Ashok Kumar Sharma was directed to disgorge the profit made on the sale of said 1 share to the SEBI (IPEF) Account.
6	Reasons recorded in writing for taking action stated above	In view of the submissions made by Mr. Mr. Ashok Kumar Sharma in response to the Show Cause Notice issued to him, a warning letter has been issued. Further, Mr. Ashok Kumar Sharma has been directed to disgorge the profit made on the sale of said 1 share to the SEBI (IPEF) Account. Mr. Ashok Kumar Sharma has been advised him to refrain from entering into any such transaction in future otherwise more stringent actions can be taken against him as per Company's Code of Conduct for regulating, monitoring and reporting of trading by Insiders.
7	Details of the previous instances of violations, if any, since last financial year	None
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online

ii. Details of transfer/payment	
In case of Online:	
Particulars	Details
Name of the transferor	Mr. Ashok Kumar Sharma
Bank Name, branch and Account number	ICICI Bank Limited, Account No. 645501501259 Branch: Sector-61, NOIDA
UTR/Transaction referenceNumber	321317848273
Transaction date	01/08/2023
Transaction Amount (in Rs.)	25.00/-
In case of Demand Draft (DD):	
None	
Particulars	Details
Bank Name and branch	
DD Number	
DD date	
DD amount (in Rs.)	
9	Any other relevant information
	Not Applicable

Yours faithfully,

Ritesh Chaudhry
Sr. Vice President (Secretarial) &
Company Secretary
PAN: ACAPC9068L
Email: ritesh.chaudhry@uflexltd.com

Date: 02-08-2023

Place: NOIDA