

Date: 19.02.2019

To, Listing Department National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex Bandra (East), Mumbai - 400051	To, Listing Department Bombay Stock Exchange Limited P.J.Tower, Dalal Street, Mumbai-400 001
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Dear Sir,

Sub: Disclosure regarding the Postal ballot/ Evoting results and Proceedings /Outcome of the Postal Ballot process and pursuant to provision of Section 110 of the Companies Act, 2013 read with Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provision of Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, the Company had conducted the process of Postal Ballot for seeking approval of the shareholders for passing Special Resolutions for (1) Grant of Stock options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018 and (2) Grant of Stock options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018.

Further, pursuant to Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, we are submitting herewith the result of the voting by Postal Ballot (including E-voting). We are also enclosing herewith Scrutinizer's Report dated 19/02/2019 for your kind reference.

The said Postal Ballot /E-voting Result will be displayed at the Registered Office of the Company and on the website of the Company - www.emkayglobal.com.

The details regarding the proceedings/Outcome and E-voting results of the business transacted through postal ballot process are as under.

Sr.No.	Description	Particulars
A	Date of the Notice of Postal Ballot	Wednesday, 9 th January, 2019
B	Cut -off Date	Friday, 11 th January, 2019



C	Total number of Shareholders on cut-off Date	9,830		
D	Number of Shareholders present in the Annual General Meeting either in person or through proxy ---- NOT APPLICABLE			
	Shareholders	Present in person	Present through Proxy	Total
	Promoters and Promoters Group	-	-	-
	Public	-	-	-
E	Number of Shareholders attended the meeting through Video Conferencing No Video Conferencing facility was made available Not Applicable			

The voting period began on Sunday, 20th January, 2019 at 9.30 am and ended on Monday, 18th February, 2019 at 5.00 pm. In this regard, Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership no. FCS 8331) of M/s. Parikh & Associates, Company Secretaries in whole-time practice, was appointed by the Board as Scrutinizer and has submitted his report.

The following is the result of the postal ballot/e-voting:

The following business was transacted through the Postal Ballot process vide Notice dated 9th January, 2019.

Item No.	Details of the Agenda Item	Nature of Resolution	Mode of Voting	Remarks
1	Approval by Special Resolution for Grant of Stock options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018	Special	E-Voting and Physical Postal Ballot	Passed with requisite majority
2	Approval by Special Resolution for Grant of Stock options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018	Special	E-Voting and Physical Postal Ballot	Passed with requisite majority



RESOLUTION WISE DETAILS OF VOTING RESULTS ARE AS UNDER:

Resolution Required : (Special)			1 - Approval by Special Resolution for Grant of Stock options to Mr.Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	[3]={[2]/[1]}*100	[4]	[5]	[6]={[4]/[2]}*100	[7]={[5]/[2]}*100
Promoter and Promoter Group	E-Voting	17652500	1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
Public Institutions	E-Voting	22854	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	6943676	1,74,974	2.5199	1,74,640	334	99.8091	0.1909
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		347	0.0050	237	110	68.2997	31.7003
	Total		1,75,321	2.5249	1,74,877	444	99.7468	0.2532
Total		24619030	1,78,27,821	72.4148	1,78,27,377	444	99.9975	0.0025



Resolution Required : (Special)			2 - Approval by Special Resolution for Grant of Stock options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\{[2]/[1]\} * 100$	[4]	[5]	$[6]=\{[4]/[2]\} * 100$	$[7]=\{[5]/[2]\} * 100$
Promoter and Promoter Group	E-Voting	17652500	1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
Public Institutions	E-Voting	22854	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non Institutions	E-Voting	6943676	1,74,974	2.5199	174640	334	99.8091	0.1909
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		346	0.0050	236	110	68.2081	31.7919
	Total		1,75,320	2.5249	1,74,876	444	99.7467	0.2533
Total		24619030	1,78,27,820	72.4148	1,78,27,376	444	99.9975	0.0025

The above two special resolutions set out in the Notice of Postal Ballot dated 09/01/2019 are accordingly passed by the shareholders with the requisite majority.

Kindly take the above information on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For Emkay Global Financial Services Limited

B. M. Raul

Company Secretary & Compliance Officer



Encl: As above

RESULT OF THE POSTAL BALLOT

Pursuant to Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, approval of the Shareholders for passing Special Resolutions were sought through postal ballot process vide Notice dated 9th January, 2019 for (1) Grant of Stock options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018 (2) Grant of Stock options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018

Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331) of M/s Parikh & Associates, Company Secretaries in whole time practice was appointed as Scrutinizer for conducting the postal ballot process in a fair and transparent manner.

The last date for e-voting and receipt of the postal ballot form duly completed from the Shareholders was up to 5.00 p.m. on Monday, 18th February, 2019.

Based on the report submitted by the Scrutinizer, following results are announced:

Postal Ballot Voting Results Disclosure as per Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015	
Company Name	Emkay Global Financial Services Limited
Date of the Postal Ballot Notice	9 th January, 2019
Total number of shareholders as on cut-off Date	9830
Voting:	
Start Date	Sunday, 20 th January, 2019 at 9.30 a.m. to
End Date	Monday, 18 th February, 2019 at 5.00 p.m.
No. of shareholders present in the meeting either in person or through proxy	Not Applicable
Promoters and promoter Group	-----
Public	-----
No. of shareholders present in the meeting through Video Conferencing	Not Applicable



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Century Mills, Worli, Mumbai - 400 013 Tel: +91 22 6629 9299 Fax: +91 22 6629 9199
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028 Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC064899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Promoters and promoter Group	-----
Public	-----

Resolution Required : (Special)			1 - Approval by Special Resolution for Grant of Stock options to Mr.Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
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	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
Public Institutions	E-Voting	22854	0	0.0000	0	0	0.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non-Institutions	E-Voting	6943676	1,74,974	2.5199	1,74,640	334	99.8091	0.1909
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	Postal Ballot		347	0.0050	237	110	68.2997	31.7003
	Total		1,75,321	2.5249	1,74,877	444	99.7468	0.2532
Total		24619030	1,78,27,821	72.4149	1,78,27,377	444	99.9975	0.0025

Resolution Required : (Special)			2 - Approval by Special Resolution for Grant of Stock options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued Equity capital under Employees Stock Option Plan-2018					
Whether promoter/ promoter group are interested in the agenda/resolution?			NO					
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes – in favour	No. of Votes – Against	% of Votes in favour on votes polled	% of Votes against on votes polled
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Promoter and Promoter Group	E-Voting	17652500	1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		1,76,52,500	100.0000	1,76,52,500	0	100.0000	0.0000
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	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		0	0.0000	0	0	0.0000	0.0000
	Total		0	0.0000	0	0	0.0000	0.0000
Public Non-Institutions	E-Voting	6943676	1,74,974	2.5199	1,74,640	334	99.8091	0.1909
	Poll		0	0.0000	0	0	0.0000	0.0000
	Postal Ballot		346	0.0050	236	110	68.2081	31.7919
	Total		1,75,320	2.5249	1,74,876	444	99.7467	0.2533
Total		24619030	1,78,27,820	72.4148	1,78,27,376	444	99.9975	0.0025

The above two special resolutions set out in the Notice of Postal Ballot dated 9th January, 2019 are accordingly passed by the shareholders with the requisite majority.

For Emkay Global Financial Services Limited

Prakash
Mr. Prakash Kacholia
Managing Director

Mumbai



19 FEB 2019

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office :
111, 11th Floor, Sai-Dwar CHS Ltd.
Sab TV Lane, Opp Laxmi Industrial Estate
Off Link Road, Above Shabari Restaurant,
Andheri (W), Mumbai : 400 053
Tel. : 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

February 19, 2019

To,
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
The Ruby, 7th Floor
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Kind Attn: Mr. B.M.Raul

Sub. : Postal Ballot

Dear Sir,

I refer to my appointment as Scrutinizer to conduct the postal ballot process in respect of the following Special Resolutions:

- (a) Grant of Stock Options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued equity capital under Employee Stock Option Plan-2018.
- (b) Grant of Stock Options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued equity capital under Employees Stock Option Plan-2018.

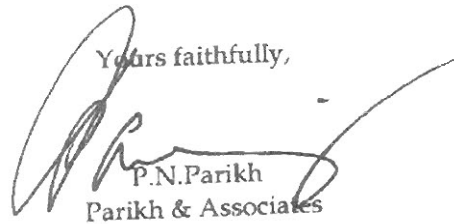
I now enclose the following:

- a) My report to the Chairman of the Company on the result of the postal ballots received from shareholders in physical and electronic mode.
- b) The register showing the particulars of the physical postal ballots processed by the Registrar and Share Transfer Agent of the Company and the e-votes registered on the Central Depository Services (India) Limited "(CDSL)" e-voting system in respect of the said resolutions.

I hope you will find the above in order.

Thanking you.



Yours faithfully,

P.N.Parikh
Parikh & Associates

Encl.: As above.

To,
The Chairman
EMKAY GLOBAL FINANCIAL SERVICES LIMITED
The Ruby, 7th Floor
Senapati Bapat Marg, Dadar (West),
Mumbai-400028

Report of Scrutinizer

I, P.N.Parikh, of M/s. Parikh & Associates, Practicing Company Secretaries, having my office at 111, 11th Floor, Sai Dwar CHS Ltd., Sab TV Lane, Opp. Laxmi Indl. Estate, Off Link Road, Andheri (West), Mumbai 400 053 had been appointed as the Scrutinizer by the Board of Directors of the Company to conduct the postal ballot process in respect of the following Special Resolutions:

- (a) Grant of Stock Options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued equity capital under Employee Stock Option Plan-2018.
- (b) Grant of Stock Options to Mr. Suveer Chainani, CEO-Institutional Clients Group in excess of 1% of issued equity capital under Employees Stock Option Plan-2018.

The members of the company holding shares as on the "cut-off" date of Friday, January 11, 2019 were entitled to vote on the resolution as contained in the Postal Ballot Notice.

The voting period for remote e-voting commenced on Sunday, January 20, 2019 at 09:30 a.m. (IST) and ended on Monday, February 18, 2019 at 05:00 p.m. (IST) and the CDSL e-voting module was disabled thereafter.

The votes cast under remote e-voting facility were thereafter unblocked in the presence of two witnesses who were not in the employment of the Company. Votes cast through Physical ballot forms received up to Monday, February 18, 2019 at 05:00 p.m. (IST) were also considered.

I have scrutinized and reviewed the remote e-voting and votes tendered therein based on the data downloaded from the CDSL e-voting system and the physical ballot forms received respectively and maintained register in which necessary entries have been made in accordance with the Companies(Management and Administration) Rules, 2014 as amended.



Contd...2

The Management of the Company is responsible to ensure compliance with the requirements of the Companies Act, 2013 and rules relating to physical postal ballots and remote e-voting on the Resolution contained in the notice of Postal Ballot.

My responsibility as scrutinizer for the physical postal ballots and remote e-voting is restricted to making a Scrutinizers Report of the votes cast in favour or against the Resolution.

I now submit my Report as under on the result of the voting by postal ballot in physical and electronic mode in respect of the said Resolutions.

Resolution No.1 - Special Resolution

Grant of Stock Options to Mr. Bhavesh Sanghavi, CEO-Wealth Management in excess of 1% of issued equity capital under Employee Stock Option Plan-2018.

(i) Voted in favour of the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
35	1,78,27,377	100.00 (Rounded-off)

(ii) Voted against the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
9	444	0.00

(iii) Invalid votes:

Total number of members whose votes were declared invalid	Total number of invalid votes cast (Shares)
1	50



Resolution No.2 - Special Resolution

**Grant of Stock Options to Mr. Suveer Chainani, CEO-Institutional Clients Group
in excess of 1% of issued equity capital under Employees Stock Option Plan-2018.**

(i) Voted in favour of the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
34	1,78,27,376	100.00 (Rounded-off)

(ii) Voted against the resolution:

Number of members voted through electronic voting system and through physical ballot form	Number of valid Votes cast (Shares)	% of total number of valid votes cast
9	444	0.00

(iii) Invalid votes:

Total number of members whose votes were declared invalid	Total number of invalid votes cast (Shares)
2	51



Place: Mumbai

Dated: February 19, 2019

Signature:
Name:

P.N.Parikh
Scrutinizer

FCS: 327 CP: 1228