



ROLLATAINERS
EMERGING EVERYDAY

ROLLATAINERS LIMITED

Registered Office: Plot No. 73-74, Phase-III, Industrial Area, Dharuhera, District Rewari, Haryana-123106
Tel. : 01274-243326, 242220 E-mail: www.rollatainers.in
CIN: L21014HR1968PLC004844

Ref.No.: RTL/BSE/NSE/2020-21

Date: July 31st, 2020

To

The Secretary BSE Limited Phiroze Jeejeebhoy, Towers Limited Dalal Street, Mumbai - 4000 01	The Secretary National Stock Exchange Limited Exchange Plaza Bandra Kurla Complex Bandra (E) Mumbai - 400 051
Scrip Code: 502448	Symbol: ROLLT

Sub: Annual Secretarial Compliance Report for the financial year ended 31st March, 2020

Dear Sir/Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, read with SEBI Circular no. CIR/CFD/CMD1/27/2019 dated 8th February 2019, Please find enclosed herewith the Annual Secretarial Compliance Report issued by Mr. Sachin Khurana, Proprietor of M/s S. Khurana & Associates, Practicing Company Secretaries, for the financial year ended March 31st, 2020.

This is for your information and records.

Thanking You,
Yours Faithfully

For Rollatainers Limited

**Sd\ -
(Chief Financial Officer)**

S. Khurana & Associates
Company Secretaries

*Secretarial Compliance Report of
ROLLATAINERS LIMITED
for the financial year ended March 31, 2020*

Company Name : Rollatainers Limited
CIN : L21014HR1968PLC004844
Registered Office Address : Plot No. 73-74, Phase- III, Industrial Area, Dharuhera, District-
Rewari Rewari Hr 123106 In
Listed on : Bombay Stock Exchange Limited(“BSE”)
National Stock Exchnage(“NSE”)

I. Sachin Khurana, Practicing Company Secretary have examined:

- a) All the documents and records made available to us and explanation provided by **ROLLATAINERS LIMITED** (“the listed entity”),
- b) The filings/ submissions made by the listed entity to the stock exchanges,
- c) Website of the listed entity,
- d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended **March 31, 2020**(“Review Period”) in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued there under; and
- b) The Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include: -

- a) Securities and Exchange Board of India(Listing Obligations and Disclosure Requirements) Regulations,2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations,2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations,2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable as the Company has not bought back any of its securities during the financial year under review.**



- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable as the Company has not granted any options to its employees during the financial year under review**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below: -

Sr. No	Compliance Requirement (Regulations/Circulars/guideline including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 13-Investor Complaint - Quarter ended March 31, 2019	Delayed in submission	The Investor complaints was submitted on 21 st June 2019 with a 67 (sixty seven) days delay
2.	Regulation 31(1)(b)-Shareholding pattern for the Quarter ended March 31, 2019	Delayed in submission	The shareholding pattern was submitted on 11 th May 2019 with a 20 (twenty) days delay
3.	Regulation 76 –Reconciliation of share capital and Audit Report for the Quarter ended March 31, 2019	Delayed in submission	The Reconciliation of share capital and Audit Report was submitted on 21 st May 2019 with a 21 (twenty one) days delay
4.	Regulation 7(3)- Compliance certificate for the half year ended March 31, 2019	Delayed in submission	The Compliance Certificate was submitted on 21 st June 2019 with a 52 (fifty two) days delay
5	Regulation 40(9)-Certificate from Practicing Company Secretary for the half year ended March 31, 2019	Delayed in submission	The Certificate from Practicing Company Secretary was submitted on 21 st June 2019 with a 52 (fifty two) days delay
6	Regulation 31(1)(b)-Shareholding pattern for the Quarter ended June 30, 2019	Delayed in submission	The shareholding pattern was submitted on 28 th August 2019 with a 38 (thirty eight) days delay



20/07/2020

7	Regulation 23—Related party disclosure for the half year ended September 2019	Delayed in submission	The Related party disclosure for the half year ended September 2019 was submitted on 15 th January, 2020 with a 33 (thirty three) days delay
8	Regulation 34 – Annual Report for the FY 2018-19	Delayed in submission	The Annual Report for the FY 2018-19 was submitted on 18 th September 2020.

(b) The listed entity has generally maintained records under the provisions of the above Regulations and circulars / guidelines issued there under in so far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr. No	Action Taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations! remarks of the Practicing Company Secretary, if any.
1.	Stock Exchange	Non-payment of penalty of Reg. 13 for quarter ended 31 st March 2019	Freezing of promoter holding dated 02 August 2019	As per the information received, company has paid the penalty
2.	Stock Exchange	Non-payment of penalty of Reg. 33 for 31 st March 2019	Freezing of promoter holding dated 02 August 2019	As per the information received, company has paid the penalty
3.	Stock Exchange	Penalty imposed by Stock exchange in terms of Reg 18 for the quarter ended march 2019	Fine was imposed by Stock Exchange	As per the information received, company has paid the penalty



20/07/2020

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Action Taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations! remarks of the Practicing Company Secretary, if any.
1.	Stock Exchange	Reg 14 fees and other charges to be paid to stock exchange for the FY 2018-19	Financial penalty imposed by stock exchange	As per the information received, company has paid the penalty
2.	Stock Exchange	Non-Compliance of Regulation 33, Regulation 31 of SEBI (LODR) Regulations 2015	Financial penalty imposed by stock exchange	As per the information received, company has paid the penalty
3.	Stock Exchange	Non Compliance of Regulation 18(1) and Regulation 19(1) of SEBI (LODR) Regulation 2015	Financial penalty imposed by stock exchange	As per the information received, company has paid the penalty

**Due to login credentials error (i.e. inactive of registered mobile number) in the Listing platform of BSE (Bombay Stock Exchange), the Company has submitted all the compliances as applicable under SEBI (Listing Obligation and Disclosure requirements) Regulations 2015 and SEBI (Depository Participants) Regulations 2018, for the half year / quarter ended 31st March 2020 by way of email communication to the respective email id's of BSE with in stipulated time period provided under respective Regulations.*

Further, the company has uploaded all the compliances after the above-mentioned error got resolved.

For S. Khurana & Associates

Company Secretaries

FRN: I2014DE1158200

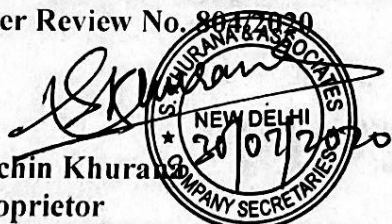
Peer Review No. 80472030

Sachin Khurana

Proprietor

M. No.: F10098; CP No.:13212

UDIN - F010098B000533423



Date: 30/07/2020

Place: New Delhi