

29<sup>th</sup> December 2023

<b>To,</b> <b>Listing Department</b> <b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra Kurla Complex Bandra (East), Mumbai-400 051 <b>SCRIP CODE: EMKAY</b>	<b>To,</b> <b>Listing Department</b> <b>BSE Limited</b> P. J. Tower, Dalal Street, Mumbai 400 001 <b>SCRIP CODE: 532737</b>
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Dear Sirs,

**Sub.: Disclosure as per Regulation 7(2) of SEBI (Prohibition of Insider Trading) Regulations, 2015**

Dear Sir / Madam,

Pursuant to Regulation 7(2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), we are enclosing herewith 2 No. of disclosures received to the Company under Regulation 7(2)(a) of PIT Regulations, from Designated Person(s) of the Company, in Form C in respect to Acquisition/Disposal of Equity Shares of the Company.

We request you to kindly take the above disclosure on your records.

Thanking you.

**For Emkay Global Financial Services Limited**

**BHALCHANDRA MADHAV RAUL**  
Digitally signed by BHALCHANDRA MADHAV RAUL  
DN: c=IN, o=Maharashtra, 2.5.4.20=69024480b37c1594a38796d3a82d05e  
66377a279263c32969596a8482c6  
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oid.2.5.6.3=19141e6531662a2392509b78df  
91c81a39f65a20195d38185aa1b7460a,  
o=Personal, ou=BHALCHANDRA MADHAV RAUL  
Date: 2023.12.29 14:01:10 +05'30'  
Adobe Acrobat Reader version: 2023.008.20421

**B M Raul**  
**Company Secretary & Compliance Officer**

**Encl: as above**



FORM C  
SEBI (Prohibition of Insider Trading) Regulations, 2015  
(Regulation 7(2) read with Regulation 6(2)-Continual Disclosure)

Name of the Company : **Emkay Global Financial Services Limited**

ISIN of the Company: INE296H01011

**Details of Changes in holding of Securities of Promotor, Employee or Director of a Listed Company and other such person as mentioned in Regulation 6(2)**

Name , PAN,CIN/DIN & Address with contact nos.	Category of Person (Promoters/KMP/Directors/immediate relative to/others etc	Securities held prior to acquisition/disposal		Securities acquired/disposed				Securities held post acquisition/disposal		Date of Allotment/advice/acquisition of shares/sale of shares, specify		Date of Intimation to company	Mode of acquisition/disposal( on market/public/right/preferential offer/off market/inter se transfer, ESOPs etc)	Trading in derivatives (Specify type of contract, Futures or Options Etc)				Exchange on which the trade was executed		
		Type of securities( for eg. Shares, warrants, convertible Debentures etc)	No and % of shareholding	Type of the security (For eg. Shares, warrants, convertible Debentures etc)	No.	Value	Transaction Type( Buy/Sale/ Pledge/Revoke/ Invoke)	Type of the security (For eg. Shares, Warrants, convertible Debentures etc)	No and % of the shareholding	From	To			Type of contract	Contract specification	Buy			Sale	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21
Saket Agrawal - AAAPA7352F	KMP	Shares	0	Shares	13500	1,020,600	Buy	Shares	13500	26.12.2023	26.12.2023	28.12.2023	ESOP	NA	NA	NA	NA	NA	NA	NSE
						<b>1,020,600</b>														

Note: In case of Options, notional value shall be calculated based on premium plus strike price of Options.

Name : Saket Agrawal

Signature:

Designation: CFO

Date: 28.12.2023

Place Mumbai

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SEBI (Prohibition of Insider Trading) Regulations, 2015  
(Regulation 7(2) read with Regulation 6(2)-Continual Disclosure)

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		Type of securities( for eg. Shares, warrants, convertible Debentures etc)	No and % of shareholding	Type of the security (For eg. Shares, warrants, convertible Debentures etc)	No.	Value	Transaction Type( Buy/Sale/ Pledge/Revoke/ Invoke)	Type of the security (For eg. Shares, Warrants, convertible Debentures etc)	No and % of the shareholding	From	To			Type of contract	Contract specification	Buy			Sale	
																Notional Value	Number of Units (contract *lot size)		Notional Value	Number of Units (Contract *lot size)
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21
Devang Desai - AHQPD4957L	Designated Person	Shares	7500	Shares	1000	100,100	Dispose	Shares	6,500	05.10.2017	03.11.2023	28.12.2023	On Market	NA	NA	NA	NA	NA	NA	BSE
Devang Desai - AHQPD4957L	Designated Person	Shares	6500	Shares	2000	200,200	Dispose	Shares	4,500	05.10.2017	03.11.2023	28.12.2023	On Market	NA	NA	NA	NA	NA	NA	NSE
Devang Desai - AHQPD4957L	Designated Person	Shares	4500	Shares	1000	113,400	Dispose	Shares	3,500	22.09.2021	15.11.2023	28.12.2023	On Market	NA	NA	NA	NA	NA	NA	NSE
Devang Desai - AHQPD4957L	Designated Person	Shares	3500	Shares	600	75,300	Dispose	Shares	2,900	22.09.2021	18.12.2023	28.12.2023	On Market	NA	NA	NA	NA	NA	NA	NSE
Devang Desai - AHQPD4957L	Designated Person	Shares	2900	Shares	10500	793,800	Acquired	Shares	13,400	26.12.2023	26.12.2023	28.12.2023	ESOP	NA	NA	NA	NA	NA	NA	NSE
						<b>1,282,800</b>														

Note: In case of Options, notional value shall be calculated based on premium plus strike price of Options.

Name : Devang Desai

Signature:



Designation: DY COO

Date: 28.12.2023

Place : Mumbai