

ESTER**INDUSTRIES LTD.**

CIN : L24111UR1985PLC015063

Date: 14th April, 2023

BSE Limited Department of Corporate Services Phirojee Jeejeebhoy Towers Dalal Street, Mumbai – 400023	National Stock Exchange of India Limited Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400051
Scrip Code: 500136	Symbol: ESTER

Dear Sir/Ma'am,

Subject: Certificate in compliance with Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, ("Listing Regulations"), please find enclosed herewith a Certificate issued under Regulation 40(9) of the Listing Regulations, by RSM & Co., Practicing Company Secretaries for the year ended on 31st March 2023.

Kindly take the same on your record

Thanking You

Yours Faithfully

For Ester Industries Limited

Pradeep Kumar Rustagi
Executive Director- Corporate Affairs
DIN- 00879345

Encl: As above

Ester Industries Limited

Corporate Office : Block-A, Plot No. 11, Infocity-I, Sector-34, Gurgaon - 122001 Haryana, India
Phone : +91-124-2656100, 4572100 Fax : +91-124-4572199, 2656199 E-Mail : info@ester.in Website : www.esterindustries.com

Regd. Office & Works : Sohan Nagar, P. O. Charubeta, Khatima - 262308 Distt. Udham Singh Nagar, Uttarakhand
Phone : EPABX No. (05943) 250153-57 Fax No.: (05943) 250158

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015

FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

On the basis of examination of relevant files, records, Registers and other documents relating to Ester Industries Limited ("the Company") having registered office at Sohan Nagar, P.O. Charubeta, Khatima Distt. Udham Singh Nagar, Uttarakhand-262308 maintained by their Registrar and Share Transfer Agent Mas Services Limited ("RTA") having its office at T-34, 2nd Floor, Okhla Industrial Area Phase – II, New Delhi 110 020 pertaining to transfer of equity shares of the Company for the period commencing from 1st April, 2022 to 31st March 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India(Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information and explanations provided to us by the Company/ Registrar and Share Transfer Agent electronically, we do hereby certify that:

- A) There was no request received and processed for shares transfer during the Audit period.
- B) Letter of confirmation relating to Transmission of shares received during the period under review from 1st April, 2022 to 31st March, 2023 has been processed with 30 days from the respective date of lodgment.
- C) The Company has issued Shares Certificates/ 'Letter of Confirmation' in lieu of physical share certificates in respect of requests received during the period under review from 1st April, 2022 to 31st March, 2023 for issue of duplicate certificate (s) within thirty days from respective date of lodgment, provided all the required documents were in order. *However, there were no requests for sub-division, consolidation, renewal of shares certificates and company has not made any calls or allotment during the period under review.*

For R S M & Co.
Company Secretaries



RAVI SHARMA

C.P. No. 3666

UDIN: F004468E000098848

Peer Review No 078/2020

Place: New Delhi

Date: 14.04.2023