



RAJKUMAR FORGE LIMITED

CIN: L28910PN1990PLC056985

REGD. OFF.: OFFICE NO. 511 TO 513, GLOBAL SQUARE, S. NO. 247, 14B,
YERAWADA, PUNE-411 006
PHONE NO. : 8956616160
EMAIL: info@rkforge.in
WEBSITE: www.rkforging.com



RFL/SEC/2019-20/40

May 29, 2019

The DCS- CRD,
BSE Limited,
Corporate Relationship Department,
1st Floor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Mumbai - 400 001
Scrip Code: 513369

Dear Sir / Madam,

Sub: Secretarial Compliance Report for the year ended March 31, 2019 in terms of Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019

In terms of clause 3(b)(iii) of SEBI circular No. CIR/CFD/CMDI/27/ 2019 dated February 08, 2019; enclose herewith Secretarial Compliance Report of the Company for the year ended March 31, 2019, issued by Ms. Sheetal S. Joshi, Practicing Company Secretary.

Please take the above on your record.

Thanking you,

Yours faithfully,

For RAJKUMAR FORGE LIMITED



Shrutu Patil

Company Secretary & Compliance Officer

Membership No. : ACS 40609

Encl : As Above

SHEETAL S. JOSHI

COMPANY SECRETARY

ADD: A901, Suvarnapushpa Apartment, Mandar Housing Society, S.No.64/1,
Sahakar Nagar-I, Pune 411009.

SECRETARIAL COMPLIANCE REPORT OF RAJKUMAR FORGE LIMITED FOR THE YEAR ENDED 31ST MARCH, 2019

To,

Rajkumar Forge Limited

Office No. 511 to 513, Global Square,

S. No. – 247, 14B, Yerawada, Pune 411006

I, **Sheetal Joshi**, have examined:

- a) all the documents and records made available to me and explanation provided by **Rajkumar Forge Limited** ("the listed entity"),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2019 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR);



- b) Securities and Exchange Board of India -(Issue of Capital and Disclosure Requirements), Regulations, 2009 (till 8th November 2018) and The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 effective from 09th November, 2018 **(not applicable to the listed entity during the Review Period);**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) The Securities and Exchange Board of India (Buy-Back of Securities) Regulations, 1998 (till 10th September, 2018) and The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 effective from 11th September, 2018 **(not applicable to the listed entity during the Review Period);**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 **(not applicable to the listed entity during the Review Period);**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 **(not applicable to the listed entity during the Review Period);**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013 **(not applicable to the listed entity during the Review Period);**
- h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding dealing with client to the extent of the securities issued **(not applicable to the listed entity during the Review Period);**
- i) The Depositories Act, 1996 and the Regulations and Bye-laws framed thereunder;
- j) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
1.	Nil	Nil	Nil

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.



(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges(including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
1.	Nil	Nil	Nil	Nil

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: NA for this year

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended...(The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	NA	NA	NA	NA

Place: Pune

Date: May 29, 2019

Sheetal Joshi

Sheetal Joshi

Membership No. 17436

C.P. No. 11635

