

17<sup>th</sup> January, 2022

Ref. No.: WOCK/SEC/SE/2021-22/120

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051  <b><u>NSE Symbol: WOCKPHARMA</u></b>
-----------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------

Dear Sir/Madam,

**Sub: Certificate in terms of Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 for the quarter ended on 31<sup>st</sup> December, 2021.**

This is with reference to captioned subject, please find enclosed herewith the certificate issued by the Registrar and Share Transfer Agent of the Company viz. Link Intime India Private Limited, in terms of the provisions of the said regulations, for the quarter ended on 31<sup>st</sup> December, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Debashis Dey**  
**Company Secretary**

Encl: As above

Date : 11<sup>th</sup> Jan, 2022

To,  
The Compliance Officer / Company Secretary  
Wockhardt Limited  
D-4 MIDC, Chikalthana,  
Aurangabad – 431 006

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> December, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 in view of the Covid-19 situation had provided relaxation in adherence to the prescribed timelines which included the processing of the demat requests by the Issuer Company/RTA. The relaxation was provided up to July 31, 2021. We have been informed that in view of the surge in volumes in Demat Requests, Update Requests, Investor correspondence etc. from July 2021 onwards, Registrars Association of India (RAIN) has requested SEBI for doubling the timelines for all activities with prescribed time limits for a limited period up to 31<sup>st</sup> March 2022. RAIN is yet to receive confirmation to the request from SEBI. We hereby also confirm that the security certificates received for dematerialisation have been confirmed/rejected and the security certificates received were mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within 15 days. We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,  
For Link Intime India Pvt. Ltd

  
Suman Shetty  
Assistant Vice President – Client Relations