

WORTH INVESTMENT & TRADING CO LIMITED

CIN: L67120MH1980PLC343455

Regd Off:- 497/501, Village Biloshi, Taluka Wada, Thane MH- 421303

Tel:- 022-62872900 Email:worthinvestmenttrading@gmail.com Site: www.worthinv.com

Date: 18th April 2023

To
The Manager
Department of Corporate Services
BSE Limited
Dalal Street, Fort
Mumbai – 400 001

Sub. - : Submission of Certificate under Regulation 40(9) for the period ended 31st March, 2023

Ref. - : Scrip Code - 538451

Sir / Madam,

With regards to the captioned subject please find enclosed herewith the Certificate issued by Parbat Chaudhari, Practicing Company Secretary for the year ended 31st March, 2023.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For Worth Investment & Trading Co Ltd

Lalit Radhakrishna
Tulsiani

Digitally signed by Lalit Radhakrishna Tulsiani
DN: cn=Lalit Radhakrishna Tulsiani
2.5.4.20=60e0d87262110b3004148605a6b07952a11ac7081e45460ff15a0b4f
445f3a0a5c0e400051, o=Worth Investment & Trading Co. Ltd, ou=Mumbai, postalCode=400001, email=tulsiani@worthinv.com, serialNumber=20230418155730-001307
c=IN, o=Worth Investment & Trading Co. Ltd, ou=Mumbai, postalCode=400001, email=tulsiani@worthinv.com, serialNumber=20230418155730-001307
Date: 2023.04.18 15:57:30 +05'30'

Lalit Tulsiani
Director
DIN: 01652630

Encl: as above



Name of the Company : Worth Investment & Trading Co Limited

CIN : L67120MH1980PLC343455

Registered Office : 497/501, Village - Biloshi, Taluka - Wada,
Thane - 421303, Maharashtra, India.

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08th June, 2018 and vide its press release dated 03rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, the Securities and Exchange Board of India ("SEBI") vide gazette notification dated 24th January, 2022 read with the SEBI circular No.: SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of the certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision / Splitting of securities certificate, Consolidation of securities certificates / folios, Transmission and Transposition.

Accordingly, I have examined the relevant documents relating to **Worth Investment & Trading Co Limited** maintained by **Maheshwari Datamatics Private Limited** pertaining to transfer of Equity Shares of the Company for the financial year from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided, hereby certify that during the financial year ended on **31st March, 2023**:

- A. As informed to me, no requests were processed by the Registrar and Share Transfer Agents of the Company for transfer or transmission of shares during the financial year from **01st April, 2022 to 31st March, 2023**.

PARBATBHAI
VANABHAI
CHAUDHARI

B. As informed to me, no requests were processed by the Registrar and Share Transfer Agents of the Company for sub-division, consolidation, renewal, exchange or issue of duplicate share certificates during the financial year from **01st April 2022 to 31st March, 2023.**

Date: 18th April, 2023

Place: Gujarat

UDIN: A057826E000129447

Note:

I have not carried out the physical inspection of any records maintained by the Registrar and Share Transfer Agent ("RTA") of the Company. I have relied on the records made available by the Company / RTA through electronic mode.

PARBATBHAI
VANABHAI
CHAUDHARI

Digitally signed by PARBATBHAI VANABHAI
CHAUDHARI
DN: cn=PARBATBHAI VANABHAI
CHAUDHARI, o=PERSONAL,
serialNumber=66c7e398f46b9f37017c74ee6a
5c28c07c989136338ac087ac7525d8890196,
postalCode=385535, st=GUJARAT,
serialNumber=5c59a21b2d77a3ba792000335
68c13922d2c7c13847f6e0c0789805c5380a6
c.cn=PARBATBHAI VANABHAI CHAUDHARI
Date: 2023.04.18 14:00:47 +05'30'

CS Parbat Chaudhari

Practicing Company Secretary

ACS No.: 57826 / COP No.: 22429

Peer Review Cert. No.: 1575/2021