



# CITY UNION BANK LIMITED

CIN : L65110TN1904PLC001287

Regd. Office : 149, T.S.R. (Big) Street, Kumbakonam - 612 001, Thanjavur District, Tamil Nadu.

Telephone No : 0435 - 2402322 Fax : 0435 - 2431746

E-mail : shares@cityunionbank.com Website : www.cityunionbank.com

C.O/Shares/LR-5/2023-24

April 19, 2023

National Stock Exchange of India Limited,  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No.C/1, G Block,  
Bandra-Kurla Complex,  
Bandra (E),  
**Mumbai 400 051**

BSE Ltd.  
DCS – CRD  
Phiroze Jeejeebhoy Towers  
25<sup>th</sup> Floor, Dalal Street  
**Mumbai 400 001**

**Scrip Code: CUB**

**Scrip Code: 532210**

Dear Sir,

Sub: Intimation u/r 40(10) of SEBI (LODR) Regulations, 2015  
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In compliance with Regulation 40(10) of SEBI (LODR) Regulations, 2015 we enclose herewith a Compliance Certificate on share transfer systems under Regulation 40(9) of SEBI(LODR) Regulations, 2015 for the year ended 31<sup>st</sup> March 2023, issued by M/s SPNP & Associates, Practicing Company Secretaries, Chennai.

This is for your information and records please.

Thanking you

Yours faithfully  
for **CITY UNION BANK LIMITED**

**Venkataramanan S**  
Company Secretary





To,

All the Listed Stock Exchanges

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH 2023**

Securities and Exchange Board of India (SEBI) by circulars number SEBI/HO/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022 and SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/70 dated May 25, 2022 has mandated that listed companies shall issue securities in dematerialized form only, while processing requests for inter alia issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, renewal / exchange of securities certificate, endorsement, sub-division/ splitting of securities certificate, consolidation of securities certificates/ folios, transmission and transposition. The circular further mandates that RTA/ Issuer companies shall after verifying and processing the requests, issue a 'Letter of confirmation' in lieu of physical securities certificate(s) to the securities holder / claimant within 30 days of the receipt of such requests after removing objections, if any.

Accordingly, on the basis of examination of all relevant registers, process sheets, documents and papers of **M/s. CITY UNION BANK LIMITED ("the Bank")**, made available to us through its Registrar and Share Transfer Agent viz. **M/s. Integrated Registry Management Services Private Limited** for the purpose of issuing the certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that the Bank, through its Registrar and Share Transfer Agent viz. M/s. Integrated Registry Management Services Private Limited, Chennai.

A) has not received any valid share transfer request during the period from **01<sup>st</sup>April, 2022 to 31<sup>st</sup>March, 2023.**

**SPNP & ASSOCIATES**  
**Practising Company Secretaries**

No.10/28, II Floor, 3rd Cross Street, R.K. Nagar, Raja Annamalaipuram, Chennai - 600 028.  
snpnassociates@gmail.com # Phone: 044 4215 3510, 4320 1250, Mobile : 95660 33011



B) has received requests for

- i. Issuance of Duplicate share certificates and the duplicate share certificate/Letter of confirmation have been issued within 30 days of lodgement.
- ii. name change in the Share Certificate,
- iii. Splitting of securities certificate, transmission and transposition and issue of Split share Certificate has been received.

Further, during the period from **01<sup>st</sup>April, 2022 to 31<sup>st</sup> March 2023**, there were no requests for exchange of Share certificate, endorsement, sub-division/ consolidation of Share certificates/ folios received.

**For M/s. SPNP & Associates**

**DATE: 19.04.2023**

**PLACE: CHENNAI**

**Nithya Pasupathy**  
**Partner**

**Membership number: F10601**

**Certificate number: 22562**

**Peer Review no. : 1913/2022**

**UDIN: F010601E000139224**

**CC: M/S. Integrated Registry Management Services Private Limited, 2nd Floor Kences Towers, 1 Ramakrishna Street North Usman Road T Nagar Chennai Chennai TN 600017 IN**

SPNP & Associates

Practising Company Secretaries

No.10/28, 11 Floor, 3rd Cross Street, R.K Nagar, Raja Annamalapuram, Chennai 600028  
spnpassociates@gmail.com / Phone: 91 44 4215 3510, 43201250, Mobile: 9866035011