

CIN: L36911GJ2014PLC078802

Date: 28.04.2023

To

The General Manager-Listing Corporate Relationship Department The BSE Limited Phiroz Jeejeebhoy Tower Dalal Street, Mumbai-400001

SUBJECT: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR), 2015 FOR THE YEAR ENDED ON 31st MARCH, 2023

REF: GAUTAM GEMS LIMITED (Scrip Code: 540936)

Dear Sir/ Madam,

With reference to above subject and pursuant to provision of regulation 40(9) of SEBI (Listing obligation and Disclosure Requirement) Regulations, 2015, please find the attached Compliance Certificate for the year ended 31st March, 2023.

Please take the same on your record and oblige.

Thanking You,

FOR GAUTAM GEMS LIMITED

GAUTAM PRAVINCHANDRA SHETH MANAGING DIRECTOR DIN: 06748854









S S LUNKAD & ASSOCIATES Company Secretaries

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015 FOR THE YEAR ENDED ON 31st March, 2023

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Gautam Gems Limited** maintained by **KFin Technologies Limited** pertaining to transfer of equity shares of the Company for the period from **1**st **April**, **2022** to **31**st **March**, **2023** for the purpose of issuing a Certificate as per regulation 40 (9) of SEBI (LODR) Regulations, 2015 and listing agreement entered into by **Gautam Gems Limited** with stock exchanges and based on the information provided by the Company, I hereby certify that the Company has delivered during the year ended on **March 31**, **2023**.

- A) The Company has not received any request with regard to transfer of shares during the period from 1st April, 2022 to 31st March, 2023.
- B) The Company has not received any request for duplicate share certificate during the year ended on March 31, 2023.



S S Lunkad & Associate CS Sushmita Lunkad Company Secretaries Membership No.: 54915

CP No.: 20418

UDIN: A054915E000211929

Peer Review Certificate No.: 2815/2022

Date: 27/04/2023 Place: Mumbai

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