

UPL Limited, UPL House 610 B/2, Bandra Village Off Western Express Highway Bandra (East), Mumbai 400 051, India

w: upl-ltd.com e: contact@upl-ltd.com t: +91 22 7152 8000

28<sup>th</sup> April 2022

BSE Limited Mumbai

SCRIP CODE: 512070

National Stock Exchange of India Ltd Mumbai

SYMBOL: UPL

## Sub.: Certificate under Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. Dholakia & Associates LLP, Company Secretaries for the year ended 31<sup>st</sup> March, 2022.

We request you to take the above certificate on record.

Thanking you,

Yours faithfully, for **UPL Limited** 

W

Sandeep Deshmukh Company Secretary and Compliance Officer (ACS-10946)

## CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE **REQUIREMENTS) REGULATIONS, 2015**

## FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2022

This is to certify that UPL LIMITED (CIN L24219GJ1985PLC025132) ("Company") having its Registered Office at 3-11, G.I.D.C., Vapi Dist . Valsad, Gujarat – 396195, has kept all the records and registers maintained by Link Intime India Private Limited, Registrar and Share Transfer Agent ("RTA") at its Registered Office at C-101, 247 Park, L.B.S Marg, Vikhroli (West), Mumbai- 400 083 and on the basis of the confirmation received from the RTA, we hereby certify that during year ended on 31<sup>st</sup> March, 2022:

- a. There were certain instances of internal transactions of transfer and consolidation for the purpose of transfer to Unclaimed Suspense Account and split of shares for the purpose of transfer to Investor Education Protection Fund;
- b. The Company/RTA has not accepted any requests for transfer of shares after 31<sup>st</sup> March,2021; and
- c. There was no request for issuance of certificate with respect to renewal, exchange or endorsement of calls/allotment monies received by the Company/RTA.

This certificate is being issued under Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.

Date: 28<sup>th</sup> April 2022 Place: Mumbai UDIN: F010032D000232023

## For DHOLAKIA & ASSOCIATES LLP (Company Secretaries)

NRUPANG **BHUMITRA** DHOLAKIA email-NRUPANG&MRIUGACSL.CO BHUMUTRA DHOLARA, tide-7447 Detc: 20220428 17:2647-4039

	DN: c=IN, postalCode=400051, st=MAHARASHTRA,
	I=MUMBAI, o=Personal
	serialNumber=100a47593e8b457dd4e896941e41ea908d75
	A d67d25d19543eb55536991e0399b.
	pseudonym=744720220131164458749.
	25.4.20=5f746169951c1b89e9936986f9b8cc4b774548a09
	1de8656712fecbeb2f449f
	email=NRUPANG@MRUGACSL.COM.cn=NRUPANG

CS Nrupang B. Dholakia **Designated Partner** FCS No: - 10032 C.P. No.: - 12884

**Disclaimer**: The Certificate is being issued after verifying the details shared by the RTA electronically.

UPL LIMITED - CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) **REGULATIONS, 2015** 

Regd Office: A/302, Sarvodaya CHSL, Bldg No. 11, Nr. P.F. Office, Khernagar, Bandra (E), Mumbai – 400051. Tel No. +91 22 26580309, +91 22 26476280, +91 22 26471302. E-Mail: info@dholakia-associates.com LLPIN: AAC-9552