



ALFRED HERBERT (INDIA) LTD.

13/3, Strand Road, Kolkata - 700 001
Telephone : 2226 8619, 2264 0106
Fax : (033) 2229 9124
E-mail : kolkata@alfredherbert.com
Website : www.alfredherbert.co.in
CIN : L74999WB1919PLC003516

Bombay Stock Exchange Limited
PhirozeJeejeebhoy Towers, 25th Floor
Dalal Street
Mumbai 400 001

13th October'2020

Dear Sirs,

COMPLIANCE CERTIFICATE UNDER REGULATION 40(9)
OF SEBI (LODR) REGULATIONS, 2015

OUR SCRIP CODE NO. 505216

We enclose herewith Compliance Certificate under Regulation 40(9) dated 12th October 2020 issued by Ms. Sweety Kapoor- Practicing Company Secretary, for half-year ended 30th September 2020 for your information and record.

Yours faithfully,
For Alfred Herbert (India) Limited,

Shobhana

Shobhana Sethi
Company Secretary and Chief Financial Officer

Encl: as above



Sweety Kapoor

Practising Company Secretary

📍 : Business Communication Centre,
21, Parsee Church Street, Room No. 4
Near - 18, Ezra Street, Kolkata - 700001
☎ : 033-40083749
📠 : 9830471414
✉ : sweetykapoor53@rediffmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. Alfred Herbert (India) Limited ("the Company") maintained by M/s. Maheshwari Datamatics Pvt. Ltd. ("RTA") pertaining to transfer of Equity Shares and issue of Share Certificates of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company/ RTA, I hereby certify that the during the half year ended on 30th September, 2020:

A) This clause relating to issue and delivery of share certificates relating to the share transfer deeds received during the period from 1st April, 2020 to 30th September, 2020 as entered in the memorandum of transfers within fifteen days from respective date of lodgement of each deed excepting those rejected on technical grounds, is NOT APPLICABLE as SEBI has prohibited Physical transfer of shares in case of Listed Companies.

Futher, as informed to us Company and its RTA has not received any request for transfer/ transmission of shares in physical mode during the period from 1st April, 2020 to 30th September, 2020.

and

B) The Company has issued all the certificates within 30 days for sub-division, consolidation, renewal, duplicate issue of share certificates, exchange or endorsement of calls/allotment monies, if any, during the period from 1st April, 2020 to 30th September, 2020, the same is NOT APPLICABLE, as there was NO request received for such instance during the half year ended 30th September, 2020.

Place: Kolkata
Date: 12-10-2020



Skapoor
(Sweety Kapoor)

Practising Company Secretary
FCS - 6410, COP - 5738
UDIN: F006410B000912073