

July 14, 2020

BSE Limited Corporate Relationship Department, P. J. Towers, Dalal Street, Fort, Mumbai - 400 001. BSE Scrip Code: 532756	National Stock Exchange of India Limited Corporate Relationship Department, Exchange Plaza, 5 th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (E), Mumbai – 400 051. NSE Scrip Code: MAHINDCIE
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Dear Sir / Madam,

Sub: Certificate confirming compliance with Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015


In pursuance of Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR), we are submitting herewith Certificate confirming compliance with Regulation 40 (9) of the LODR for the half year ended June 30, 2020 covering period of 6 months from January 01, 2020 to June 30, 2020.

We request you to take the same on record.

Thanking you,

Yours faithfully,

For Mahindra CIE Automotive Limited



Pankaj Goyal

Company Secretary and Compliance Officer

Membership No.: A 29614

Encl: as above



**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015
FOR THE HALF YEAR ENDED 30TH JUNE, 2020**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Mahindra CIE Automotive Limited ('Company')** having its registered office at Mahindra Towers, P. K. Kurne Chowk, Worli, Mumbai - 400018 maintained by **KFin Technologies Private Limited (formerly known as Karvy Fintech Private Limited)**, the Registrar & Share Transfer Agent ('RTA') of the Company pertaining to transfer of equity shares of the Company for the period from **1st January, 2020 to 30th June, 2020** for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by the Company through its RTA and based on our examination as well as information and explanations furnished to us which is to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that during the first half of financial year of **Mahindra CIE Automotive Limited** ended on **30th June, 2020**:

- a) the share certificate(s) relating to the Share Transfer Form(s) received during the said half year (from 1st January, 2020 to 30th June, 2020), as entered in the Memorandum of Transfers, were issued within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds; and
- b) no request(s) for issue of duplicate share certificate(s) in lieu of original lost/ misplaced, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies was received.
- c) Any other information, if applicable –

The Securities and Exchange Board of India vide notification dated 08th June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities w.e.f. 1st April, 2019 would be carried out only in dematerialized form. However SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to 1st April, 2019 and returned due to deficiency in the document may be re-lodged for

Manish Ghia & Associates

transfer even after the deadline of 1st April, 2019. Transfers referred to in clause (a) above includes transposition, transmissions and transfer which are permitted as per the SEBI press release dated 27th March, 2019.

For **Manish Ghia & Associates**

Company Secretaries

MANISH
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DRA GHIA

Digitally signed by
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LALITCHANDRA
GHIA
Date: 2020.07.14
17:36:08 +05'30'

Manish L. Ghia

Partner

M. No. FCS 6252 C.P. No. 3531

Place : Mumbai

Date : July 14, 2020

UDIN: F006252B000452599

Note: Due to the lockdown situation on account of Coronavirus (COVID-19) Pandemic, we have not been able to carry out physical visit to RTA office for verification of data. However we have sought and received all the relevant information and data in soft form via email from the RTA and also obtained required confirmations through email. The report is based on verification of the said data, information and confirmations of RTA.