



YBL/CS/2021-22/002

April 06, 2021

**National Stock Exchange of India Limited**

Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra - Kurla Complex  
Bandra (E), Mumbai - 400 051  
Tel.: 2659 8235/36 8458  
**NSE Symbol: YESBANK**

**BSE Limited**

Corporate Relations Department  
P.J. Towers, Dalal Street  
Mumbai - 400 001  
Tel.: 2272 8013/15/58/8307  
**BSE Scrip Code: 532648**

Dear Sirs,

**SUB: Compliance Certificate from Registrar and Share Transfer Agent under Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021**

Pursuant to Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith the Compliance Certificate received from the Bank's Registrar and Share Transfer Agent KFin Technologies Private Limited for the half year ended March 31, 2021.

Further, we hereby certify that for the half year ended March 31, 2021, all activities in relation to both physical and electronic share transfer facility are maintained by KFin Technologies Private Limited holding SEBI Registration No. INR000000221, the Registrar and Share Transfer Agent of the Company

Kindly take the same on record.

Thanking you,

Yours faithfully,

For **YES BANK LIMITED**

**Shivanand R Shettigar**  
**Group Company Secretary**

**Encl: a.a**

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to YES BANK LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

Yours faithfully,  
for KFIN TECHNOLOGIES PRIVATE LIMITED



C Shobha Anand  
Deputy General Manager

Place : Hyderabad  
Date : 02/04/2021

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

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