

PANKAJ PIYUSH TRADE AND INVESTMENT LTD

Regd. Off. :314, 3rd Floor, Opposite Plot No. 39, R. G. Mall, Sector-9, Rohini, New Delhi-110085
(CIN : L65990DL1982PLC256291)

Website : www.pptinvestment.in

E-mail : infopptinvestment@gmail.com

Ph. : 011-42804171, 9891442777

Date: 30.05.2022

To,
The Manager, Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001.

Scrip Code: 506122

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the year ended 31st March, 2022

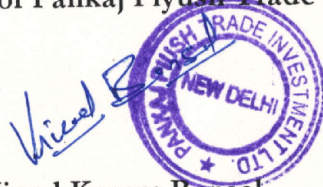
In compliance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015; read along with SEBI Circular CIR/CFD/ CMD1 /27/2079 dated, February 08, 2019, we are submitting herewith Annual Secretarial Compliance Report dated 30th May, 2022 of the Company issued by Practicing Company Secretary for the Financial Year 2021-2022.

You are requested to take the above on your records..

Thanking you,

Yours faithfully,

For Pankaj Piyush Trade & Investment Limited



Vinod Kumar Bansal
Managing Director
DIN: 00243709
ENCL: As above



ANNUAL SECRETARIAL COMPLIANCE REPORT
OF

PANKAJ PIYUSH TRADE & INVESTMENT LIMITED FOR THE FINANCIAL
YEAR ENDED 31ST MARCH, 2022

[Pursuant to Circular CIR/CFD/CMD1/27/2019 dated February 08, 2019 in compliance with Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
The Board of Directors,
Pankaj Piyush Trade & Investment Limited
Regd. Office: 314, 3rd Floor, Opposite Plot No. 39
R.G. Mall Sector-9, Rohini, New Delhi-110085

We, VMS & Co., Practicing Company Secretaries have examined:

- (a) All the documents and records made available to us and explanation provided by Pankaj Piyush Trade & Investment Limited (CIN: L65990DL1982PLC256291) ("the listed entity"),
- (b) The filings/ submissions made by the listed entity to the stock exchanges i.e. BSE Limited,
- (c) Website of the listed entity,
- (d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2022 ("Review Period") in respect of compliance with the provisions of:
 - (i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
 - (ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations whose provisions and the circulars/guidelines issued thereunder have been examined include:

- (i) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (ii) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (Not applicable as the Company has not issued any further share capital during the review period);
- (iii) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2009

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- (iv) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 (Not applicable as the Company has not brought back/proposed to any of its securities during the review period);
- (v) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (Not applicable as the Company has not issued any shares to its employees during the review period);
- (vi) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (Not applicable as the Company has not issued and listed debt securities during the review period);
- (vii) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable as the Company has not issued and listed any Non-Convertible and Redeemable Preference Shares during the review period);
- (viii) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and the circulars and guidelines issued thereunder;
- (ix) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (x) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
- (xi) Other regulations as applicable to the Company and circulars/guidelines issued thereunder;

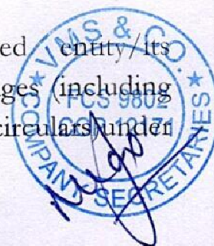
And based on the above examination we hereby report that during the review period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below: -

Sr. No.	Compliance Requirement (Regulations/circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NIL			

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder insofar as it appears from our examination of those records.

- (c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:



Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NIL				

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NIL				

We further report that there was no event of appointment/re-appointment/resignation of Statutory Auditor of the Company during the review period and the Company was in compliance with Para 6(A) and Para 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

For VMS & Co.,
Company Secretaries



CS Megha Sharan
Company Secretary in Practice
M. No.: F9802
C.P. No.: 12171
UDIN: F009802D000431432

Date: 30.05.2022
Place: Delhi