

Ref : UCL/SEC/2021-22

30<sup>th</sup> June, 2021

|   |   |
|---|---|
| <p><b>BSE Limited</b><br/>Corporate Relationship Department<br/>Phiroz Jeejeebhoy Towers,<br/>Dalal Street,<br/><b>Mumbai – 400 001</b></p> <p><b>Scrip Code : 504212</b></p> | <p><b>National Stock Exchange of India Ltd.</b><br/>Listing Department,<br/>Exchange Plaza, C-1, Block G,<br/>Bandra-Kurla Complex,<br/>Bandra (East),<br/><b>Mumbai – 400 051</b></p> <p><b>Scrip Code : UNIVCABLES EQ</b></p> |
|---|---|

Dear Sirs,

Re: **Submission of Annual Secretarial Compliance Report under Regulation 24A of SEBI (LODR) Regulations, 2015**

Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time, read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, we are enclosing herewith the Annual Secretarial Compliance Report dated 29<sup>th</sup> June, 2021 for the financial year ended 31<sup>st</sup> March, 2021.

This is for your information and record.

Thanking you,

Yours Sincerely,  
**For UNIVERSAL CABLES LIMITED**

  
**(Sudeep Jain)**  
Company Secretary

Encl: As above



# R. K. Mishra & Associates

Company Secretaries

Off : Shop No. 8, K.B. Complex, Near Bus Stand, Semariya Chowk, Satna (M.P.) 485001

CS RAJESH KUMAR MISHRA  
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CS KISHOR KUMAR GUPTA  
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Ref. **Secretarial Compliance Report of** Date .....

**Universal Cables Limited for the year ended 31<sup>st</sup> March, 2021**

We, R.K. Mishra & Associates, Practicing Company Secretaries, have examined:

- all the documents and records made available to us and explanation provided by Universal Cables Limited (CIN: L31300MP1945PLC001114) ("the listed entity"),
- the filings/submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of:

- the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations (as amended from time to time), whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- Securities and Exchange Board of India (Buy-back of Securities) Regulations, 2018 (Not applicable to Company during the Review Period);





- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 (Not applicable to the Company during the Review Period);
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 (Not applicable to the Company during the Review Period);
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable to the Company during the Review Period);
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 relating to the Companies Act and dealing with client;

and circulars/guidelines issued thereafter;

and based on the above examination, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

| Sr. No | Compliance Requirement (Regulations/circulars/guidelines including specific clause) | Deviations | Observations/Remarks of the Practicing Company Secretary |
|--------|---|------------|--|
| NIL    |   |            |  |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued thereunder in so far as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:

| Sr. No. | Action taken by | Details of violation | Details of action taken E.g. fines, warning letter, debarment, etc. | Observations/remarks of the Practicing Company Secretary, if any. |
|---------|-----------------|----------------------|---|---|
| NIL     |                 |                      |   |   |



- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No.        | Observations of the Practicing Company Secretary in the previous reports | Observations made in the secretarial compliance report for the year ended...<br><i>(The years are to be mentioned)</i> | Actions taken by the listed entity, if any | Comments of the Practicing Company Secretary on the actions taken by the listed entity |
|----------------|--|--|--|--|
| NOT APPLICABLE |  |  |  |  |

**For R.K. MISHRA & ASSOCIATES**



A handwritten signature in blue ink, appearing to read "RKMishra".

**Rajesh Kumar Mishra**  
 (Partner)  
 CP No. 4433  
 FCS No. 5383

**UDIN : F005383C000537277**

**Place: Satna**

**Date: 29<sup>th</sup> June, 2021**