

April 05, 2022

The Manager,	The Manager,
Listing Department,	Listing Department,
BSE Limited,	The National Stock Exchange of India Ltd.,
Phiroze Jeejeebhoy Tower,	Exchange Plaza, 5 Floor, Plot C/1, G Block,
Dalal Street,	Bandra - Kurla Complex, Bandra (E),
Mumbai 400 001.	Mumbai 400 051.
Tel no.: 22721233	Tel No.: 2659 8235
Fax No.: 22723719/ 22723121/ 22722037	Fax No.: 26598237/ 26598238
BSE Scrip Code: 542773	NSE Symbol: IIFLSEC

Dear Sir/Madam,

<u>Sub:-</u> Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the period from April 01, 2021 to March 31, 2022 (both days inclusive), counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking You, Yours faithfully,

For IIFL Securities Limited

Meghal Shah Company Secretary Email: secretarial@iifl.com

Encl: as above





April 5, 2022

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Listing Department,
The National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
Tel No.: 2659 8235
Fax No.: 26598237/ 26598238
NSE Symbol: IIFLSEC

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Dear Sirs,

Subject: Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that all activities in relation to share transfer facility were maintained during the period from April 1, 2021 to March 31, 2022 (both days inclusive) by the Company's Registrar and Share Transfer Agent i.e., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

Yours truly,

For IIFL Securities Limited



Meghal Shah Company Secretary For Link Intime India Private Limited



Authorized Representative

IIFL Securities Limited Corporate Identity Number: L99999MH1996PLC132983

Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604 Tel: (91-22) 6272 7000 • Fax: (91-22) 2580 6654 • E-mail: <u>secretarial@iifl.com</u> • Website: <u>www.iiflsecurities.com</u>

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