



Regency Fincorp Limited

(Formerly Known as:- Regency Investments Limited)

CIN : L67120PB1993PLC013169

Cor p. & Regd. Office : Unit No. 49B, 3rd Floor, Chandigarh-Ambala Highway, Zirakpur, Punjab-140603

Contact No.: +91-1762-424697 | Web.: www.regencyinvestments.co.in |

E-mail : regencyinvestmentsltd@gmail.com

23rd April, 2022

To,
The Deputy Manager,
Department of Corporate Services,
BSE Limited,
Floor 25, P J Towers,
Dalal Street,
Mumbai- 400 001

(REGENCY | 540175 | INE964R01013)

Subject: Compliance Certificate by Practicing Company Secretary under Regulation 40(9) of the SEBI (LODR), Regulations, 2015 for the year ended on March 31, 2022

Dear Sir,

Pursuant to Regulation 40(9) and 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are submitting herewith the Compliance Certificate for Regency Fincorp Limited issued by Ms. Kavita, partner of M/s A. K. Nandwani & Associates, Practicing Company Secretaries for the year ended 31st March, 2022.

Kindly take the same on your records.

Thanking You,

For REGENCY FINCORP LIMITED

MANISHA SHARMA

COMPANY SECRETARY AND COMPLIANCE OFFICER

M. No. A58430

Encl: a/a



A. K. NANDWANI & ASSOCIATES
Company Secretaries

125-126, B. D. Chambers, 10/54, D.B. Gupta Road,
Karol Bagh, New Delhi - 110005 (INDIA)
Ph. : (O) 91-11-41548580 Telefax : 91-11-47528088
E-mail : info@aknassociates.in, aknconsult@gmail.com
Visit us : www.aknassociates.in

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015
FOR THE PERIOD FROM 01ST APRIL, 2021 TO 31ST MARCH, 2022

We have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **REGENCY FINCORP LIMITED** having its Registered Office at Unit No. 49 B, 3rd Floor Sushma Infinium, Chandigarh Ambala Highway Zirakpur Mohali PB 140603, maintained by its Registrar & Transfer Agent, **MAS SERVICES LIMITED** pertaining to transfer, transmission and sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of equity shares of the Company for the period from **01st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing obligation & Disclosure Requirement) Regulation, 2015 and listing agreement entered into by, **REGENCY FINCORP LIMITED** with the Stock Exchanges.

On the basis of information obtained, we hereby certify that during the period from **01st April, 2021 to 31st March, 2022:**

- A) The Company has not received any request relating to the Transfer of shares and Name Deletion Requests.
- B) No request received for issue of Duplicate Certificates by the Company from the Shareholder.
- C) As per the records made available, there was no request relating to split, sub-division, consolidation, rematerialisation, exchange of share certificate(s), renewal and endorsement of calls/allotment monies received.

FOR A. K. NANDWANI & ASSOCIATES
(COMPANY SECRETARIES)

PLACE: NEW DELHI

DATE: 23.04.2022



Kavita

KAVITA
(PARTNER)

M. NO. 9115

C. P. NO. 10641

UDIN:

F009115D000191616

PR 1136/2021