



**ANNUAL SECRETARIAL COMPLIANCE REPORT**  
**OF**  
**MUKTA ARTS LIMITED**  
**FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

*[Pursuant to circular CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019 issued by  
Securities and Exchange Board of India]*

We, **K.C. Nevatia & Associates**, Practicing Company Secretaries, state that due to the restriction imposed by the Maharashtra state Government on account of spread of COVID-19, the relevant information and documents as stated hereunder were examined by us as produced electronically by the Company:

- (a) all the documents and records made available to us and explanation provided by **MUKTA ARTS LIMITED** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchange,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined to the extent applicable, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Depositories and participants) Regulations, 2018;
- (e) Securities and Exchange Board of India (Registrars to an Issue and share Transfer Agents) Regulations, 1993;

and based on information provided by the Company electronically, We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder to the extent applicable to it.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder to the extent applicable to it, in so far as it appears from our online examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors either by SEBI or by Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NOT APPLICABLE AS NO ACTION WAS TAKEN AGAINST THE COMPANY/PROMOTERS/ DIRECTORS EITHER BY SEBI OR BY STOCK EXCHANGE DURING THE FINANCIAL YEAR UNDER REFERENCE.				

(d) The listed entity has taken following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... <i>(The years are to be mentioned)</i>	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE AS NO ACTION WAS REQUIRED TO BE TAKEN AS PER PREVIOUS REPORT.				

**For K. C. NEVATIA & ASSOCIATES**  
COMPANY SECRETARIES

**K. C. Nevatia**

Proprietor

FCS No.: 3963

C P No.: 2348

**UDIN: F003963C000423901**

**Place:** Mumbai

**Date:** 05/06/2021