

Ref: AL/SE/052022/06

Date: 30th May 2022

National Stock Exchange of India Limited BSE Limited

Exchange Plaza, 5th Floor,
Plot No. C/1, G Block, OS
Bandra- Kurla Complex,
Bandra (East), Mumbai - 400051.

Phiroze Jeejeebhoy Towers,
2nd Floor, Dalal Street, TO
Mumbai - 400 001

Re.: - Arshiya Limited - **NSE Scrip Name: ARSHIYA**
BSE Scrip Code: 506074

Sub: Secretarial Compliance Report for the year ended March 31, 2022.

Dear Sir /Madam,

Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed secretarial compliance report for the year ended March 31, 2022, issued by M/s. Aabid & Co., practicing company secretaries.

We request you to take the aforesaid on records.

Thanking you.

For ARSHIYA LIMITED



Ajay S Mittal

Chairman & Managing Director

DIN: 00226355



Arshiya Limited

Regd. Off.: 205 and 206 (part), 2nd Floor, Ceejay House, Shiv Sagar Estate, F-Block, Dr. Annie Besant Road, Worli, Mumbai – 400 018. India.
T: +91 22 42305500/502 | F: +91 22 4230 5555 | info@arshyalimited.com | www.arshyalimited.com

CIN: L93000MH1981PLCO24747

Free Trade Warehousing Zone | Rail & Rail Infrastructure | Inland Container Depot | Transport And Handling

Secretarial compliance report of Arshiya Limited for the year ended 31st March 2022

We Aabid & Co. have examined:

- a) All the documents and records made available to us and explanation provided by Arshiya Limited ("the listed entity"),
- b) The filings/ submissions made by the listed entity to the stock exchanges;
- c) Website of the listed entity and
- d) Any other document/ filing, as may be relevant, which has been relied upon to make this certification.

For the year ended 31st March 2022 in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued there under; and
- b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (**Not applicable during the period under review**)
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (**Not applicable during the period under review**)
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (**Not applicable during the period under review**)
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

and circulars/ guidelines issued there under; and based on the above examination, we hereby report that, during the Review Period:



- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under except in respect of matters specified below:

Sr. No	Compliance Requirement (Regulations/Circulars/guidelines including specific clause)	Deviations Observations	Observations/Remarks of the Practicing Company Secretary
1.	As per regulation 17(1)(c) of the SEBI (LODR) Regulations, 2015, the number of directors on Board of the company should be six	Non-Compliance of regulation 17(1)(c) of the SEBI (LODR) Regulations, 2015	The number of Directors on Board is 5(Five)and hence there is a non- compliance of regulation 17(1)(c) of the SEBI (LODR) Regulations, 2015

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder so far as it appears from our examination of those records;
- c) No actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued;
- d) The listed entity has taken the following actions to comply with the observations made in previous reports: N/A

Place: Mumbai
Date: 30.05.2022



For Aabid & Co
Company Secretaries

(Signature)
CS Mohammed Aabid

Partner

Membership No.: F6579

COP No.: 6625

UDIN: F006579D000414338