



April 12, 2021

Listing Compliance & Legal Regulatory

BSE Limited

P.J. Towers, Dalal Street

Mumbai - 400 001

BSE Scrip Code: 532648

Listing Compliance

National Stock Exchange of India Limited

Exchange Plaza

Plot no. C/1, G Block,

Bandra - Kurla Complex

Bandra (E)

Mumbai - 400 051.

NSE Symbol: YESBANK

Dear Sirs,

Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended March 31, 2021.

Please find enclosed herewith Certificate received from U. Hegde & Associates, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half-year ended March 31, 2021.

Kindly take the same on record.

Thanking you,

Yours faithfully,

For **YES BANK LIMITED**

Shivanand R. Shettigar
Group Company Secretary

Encl: As above

U. HEGDE & ASSOCIATES

COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS
& DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF
YEAR ENDED MARCH 31, 2021**

I, have examined all the records and documents for the period from October 1, 2020 to March 31, 2021 in relation to Share Transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, maintained by Registrar & Transfer Agents ("RTA") KFin Technologies Private Limited having its office at Selenium Building, Tower B, Plot No-31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, 500032, for their client YES BANK LIMITED ("the Bank"), having registered office at YES BANK Tower, One International Center, Tower II, 15th Floor, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013, India, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. Based on the documents and information made available, I hereby certify that:

- A) During the period from October 1, 2020 to March 31, 2021, no transfer deeds were lodged with Bank/RTA, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.
- B) During the period from October 1, 2020 to March 31, 2021, the Bank/RTA did not receive request relating to sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.

FOR U. HEGDE & ASSOCIATES, COMPANY SECRETARIES



**UMASHANKAR K HEGDE
(Proprietor)
COP No- 11161 # M. No- A22133**

ICSI UDIN No- A022133C000057289



Date: 12/04/2021
Place: Mumbai