

Date: 26th April 2022

To,
BSE Limited
1st Floor, New Trading Ring
Rotunda Building, P J Towers
Dalal Street, Fort
Mumbai 400 001

Stock Code: 513375

National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai 400 051

Stock Code: CARBORUNIV-EQ

Dear Sir/Madam,

Sub: Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed the Compliance Certificate in terms of Regulation 40 (9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March 2022 issued by M/s. R Sridharan & Associates, Company Secretaries.

We request you to kindly take the same on record.

Thanking you

Yours faithfully
For **Carborundum Universal Limited**



Rekha Surendhiran
Company Secretary

CERTIFICATE IN TERMS OF REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 01stApril, 2021 to 31st March, 2022

ISIN: INE120A01034

STOCK CODE: 513375

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **CARBORUNDUM UNIVERSAL LIMITED (CIN NO. L29224TN1954PLC000318)** (hereinafter referred to as 'the Company'), having its Registered Office situated at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent ('RTA') viz., **KFin Technologies Limited** (Formerly known as KFin Technologies Private Limited) having its office situated at Selenium Tower B, Plot 31&32, Financial District, Nanakramguda, SerilingampallyMandal, Hyderabad - 500 032 pertaining to the period from **01st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI CircularNo.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by the Company with **BSE LIMITED** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March 2022** has not received any request(s) pertaining to transposition, sub-division, consolidation, renewal and exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company has received requests for transfer, Transmission of shares, change of name, deletion of name and duplicate share certificates and the same has been given effect within the stipulated time period and in such manner as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**

CS R.SRIDHARAN

C.P.No.3239

FCS No.4775

PR NO.657/2020

UIN: S2003TN063400

UDIN: F004775D000206625

Place: Chennai

Date: 26TH April, 2022