



April 22, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 532636	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFL
---	---

Dear Sir(s)/Madam(s),

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Please find enclosed herewith Certificates under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

Kindly take the same on record and oblige.

Thanking you,

Yours faithfully,

**For IIFL Finance Limited
(Formerly known as IIFL Holdings Limited)**

**Sneha Patwardhan
Company Secretary
Place: Mumbai**

Encl: as above

**IIFL Finance Limited (formerly known as IIFL Holdings Limited)
CIN No.: L67100MH1995PLC093797**

Corporate Office – 802, 8th Floor, Hub Town Solaris, N.S. Phadke Marg, Vijay Nagar, Andheri East, Mumbai 400069

Tel: (91-22) 6788 1000 .Fax: (91-22) 6788 1010

Regd. Office – IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, Thane Industrial Area, Wagle Estate, Thane – 400604 Tel: (91-22) 25806650. Fax: (91-22) 25806654 E-mail: csteam@iifl.com Website: www.iifl.com

Ref _____

UDIN: F004554C000147763

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31.03.2021

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **IIFL FINANCE LIMITED** (Formerly known as IIFL HOLDINGS LIMITED) maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Finance Limited (Formerly known as IIFL HOLDINGS LIMITED) and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement / Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 31st March, 2021.

Note: Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 21.04.2021

Place: Mumbai

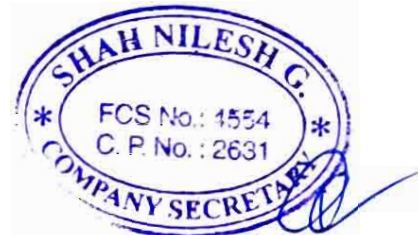
Signature: _____



NILESH G. SHAH.

Company Secretary

C. P. No: 2631



Ref _____

UDIN: F004554C000147719

**CERTIFICATE UNDER REGULATION 61(4) READ WITH 40(9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 31.03.2021


Based on the Information / details provided to us by **IIFL FINANCE LIMITED** (herein after will be referred to as "the Company") for the purpose of issuing a Certificate under Regulation 61(4) read with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1st October, 2020 to 31st March, 2021 for physical transfer of Non Convertible Debentures of the company, we hereby certify that since all the Debentures issued by the Company are in Demat form, the Company has not received any request for transfer / transmission / transposition / post deletion / sub-division, consolidation, exchange, renewal or endorsement of calls/allotment monies during half year ended on 31st March, 2021.

Note: Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 21.04.2021

Place: Mumbai

Signature: _____


22/04/2021

NILESH G. SHAH.

Company Secretary

C. P. No: 2631

