

11-07-2020

To

The Manager
Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001

Scrip Code: 522074

The Manager
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, 5th Floor, Plot No. C/I,
G Block, Bandra-Kurla Complex,
Bandra-East, Mumbai- 400 051
Symbol: ELGIEQUIP

Dear Sir,

Sub: Disclosure of Annual Secretarial Compliance Report of the Company for the year ended March 31, 2020.

Ref: SEBI circular CIR/CFD/CMD1/27/2019 dated February 8, 2019.

With reference to the SEBI circular CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed Annual Secretarial Compliance Report duly signed by the Practicing Company Secretary for the year ended March 31, 2020.

Please acknowledge the receipt of the report and take the information on record.

Thanking you,

Yours Faithfully,p

For **Elgi Equipments Limited**



Ragunathan K

Company Secretary



MDS & Associates

Company Secretaries in Practice

M.D. Selvaraj M Com MBA FCS

"SURYA", 35, Mayflower Avenue, Sowripalayam Road, Coimbatore 641028.

Phone : 0422-2318780, 2316755, Fax : 0422-2314792, E-mail : mds@mdsassociates.in, Web : www.mdsservices.in

**Secretarial Compliance Report of M/s. Elgi Equipments Limited
for the year ended 31st March 2020**

[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8th February 2019]

I, **M D Selvaraj (FCS 960 / CP.No.411)** of **M/s. MDS & Associates, Company Secretaries**, have examined:

- (a) all the documents and records made available to us and explanation provided by M/s. Elgi Equipments Limited (CIN: L29120TZ1960PLC000351) ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to BSE Limited and National Stock Exchange of India Limited ("the stock exchanges"),
- (c) website of the listed entity

for the year ended 31st March 2020 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")

The specific Regulations as applicable to the listed entity, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client
- (e) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018
- (f) The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and
- (g) circulars/ guidelines issued thereunder



and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) There were no actions taken against the listed entity / its promoters / directors either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts / Regulations and circulars/ guidelines issued thereunder.
- (d) There were no observations made in the previous report pertaining to the financial year ended 31st March 2019 and hence, reporting under this clause (d) does not arise.
- (e) There was no event of appointment/ re-appointment/ resignation of statutory auditors of the Company during the period under review. Further, the Company has modified the terms of appointment of its existing auditors in compliance of the Para (6A) and 6(B) of Circular No. CIR/CFD/CMD1/114/2019 dated 18th October 2019.

Place : Coimbatore

Date : 29-06-2020



M D Selvaraj
MDS & Associates
Company Secretaries
FCS. No: 960; CP. No: 411
UDIN: F000960B000398027

