

REF: BBFCB/BSE/2021-22

13TH APRIL, 2021

To,

BSE LTD.
CORPORATE RELATIONSHIP DEPARTMENT
PHIROZE JEEJEEBHOY TOWERS,
DALAL STREET
MUMBAI-400001

SCRIP CODE: 511501

SUB: CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA ("SEBI") (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

DEAR SIRs,

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by M/s. Kavita Pamnani & Associates, Practicing Company Secretary, for the Half year ended 31st March 2021.

We hope that you will find the above in order.

Thanking you,

Yours Truly,

FOR BHARAT BHUSHAN FINANCE & COMMODITY BROKERS LTD.


PANKAJ SINGH BISHT
COMPANY SECRETARY

Encls: a/a

KAVITA PAMNANI & ASSOCIATES
COMPANY SECRETARY

A -104, Lower ground Floor,
Amar Colony, Lajpat Nagar-IV,
New Delhi-110024
Mobile No: +91-9811676217
Phone : 011 - 41576217
E-mail : kavitasewani1@gmail.com

To,
The Board of Directors
Bharat Bhushan Finance & Commodity Brokers Limited
503, Rohit House, 3, Tolstoy Marg, Connaught Place
New Delhi – 110001

CERTIFICATE UNDER REGULATIONS 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined all electronic Share Transfer Deeds, Memorandum of Transfers/Transmission, Registers, files and other documents relating to M/s Bharat Bhushan Finance & Commodity Brokers Limited (Formerly known as Bharat Bhushan Share & Commodity Brokers Ltd.) maintained by Alankit Assignments Limited (RTA) pertaining to transfer of equity shares of the company for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that:

- A) The Company has not received any requests for transfer of shares and has processed all valid transmission requests received during the period from 1st October, 2020 to 31st March, 2021;
- B) The Company has not received any requests for split, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1st October, 2020 to 31st March, 2021;
- B) The Company has received two requests comprising of 1200 shares for issue of duplicate share certificates during the period from 1st October, 2020 to 31st March, 2021

For Kavita Pamnani & Associates
Company Secretaries

PAMNANI Digitally signed by
PAMNANI KAVITA
I KAVITA Date: 2021.04.13
14:03:10 +05'30'

Kavita Pamnani
(Proprietor)
CP No. 11099
UDIN:F006288C000057856

Place: New Delhi
Date: 13.04.2021